



Optimal network electrification plan for operation of battery-electric multiple unit regional trains

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Content

- Introduction
- Configuration and Modelling of Battery-Electric Propulsion System
- Optimization Framework for the Intermittent Track Electrification
- Case Study of the Dutch Northern Lines
- Conclusions

Introduction

- **Non-electrified regional railway networks** require implementation of alternative traction options to meet stringent emission regulations and defined targets.
- **Battery-electric multiple units** offer zero-emission trains operation, requiring only partial track electrification.
- **Research aim:** **development of a cost-optimal intermittent electrification plan**, as opposed to the conventional continuous electrification approach.
- **Geographical context:** Northern lines in the Netherlands operated by Arriva, the largest regional railway undertaking.

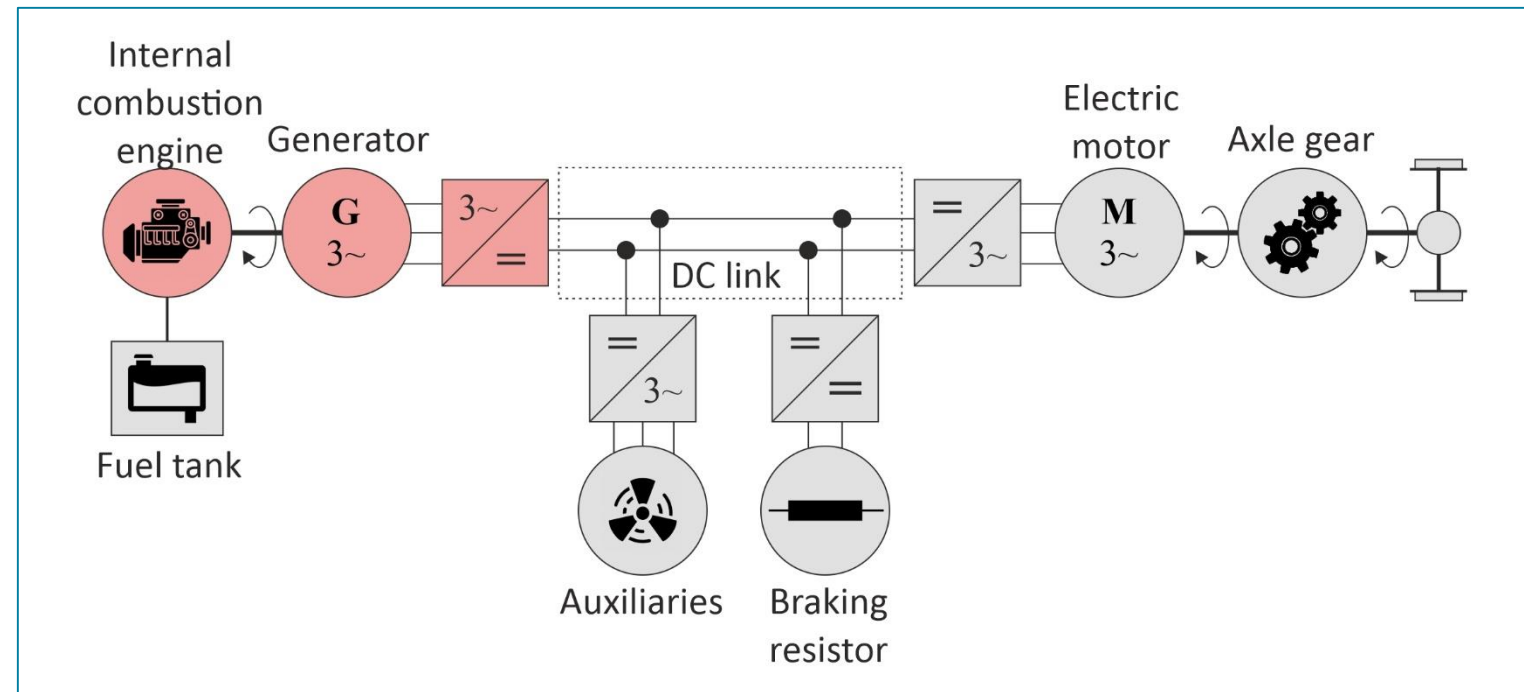


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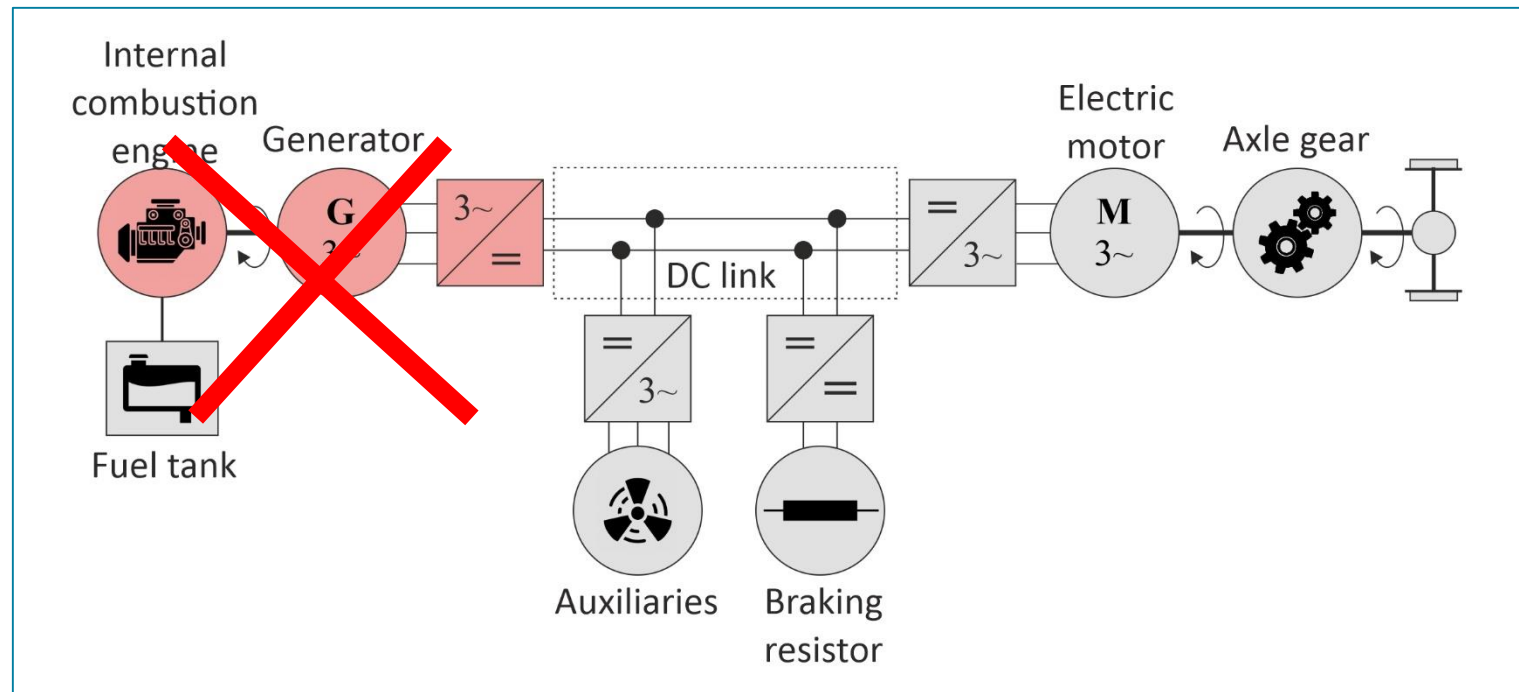
Configuration and Modelling of Battery-Electric Propulsion System

Standard (Diesel-Electric) Propulsion System



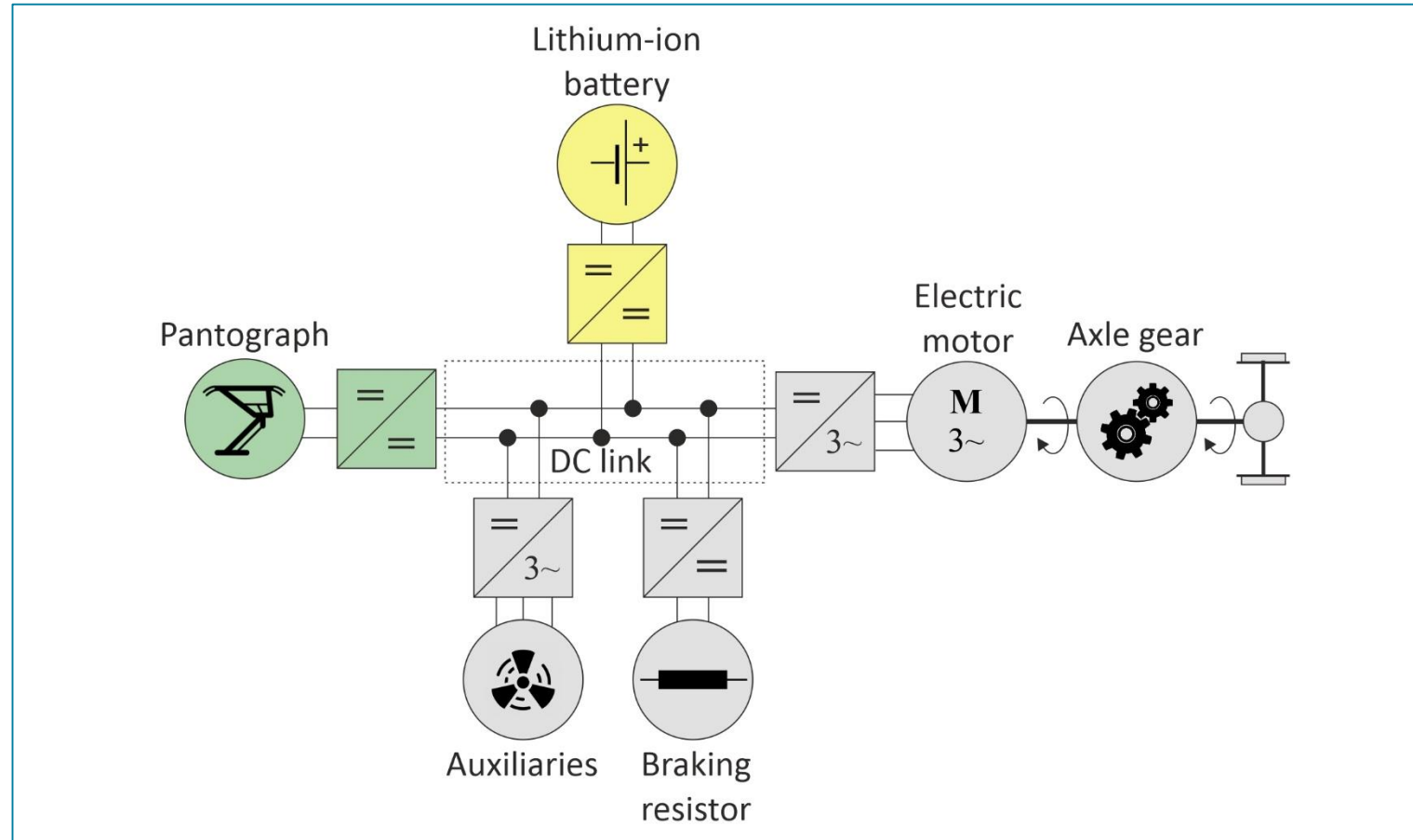
Configuration and Modelling of Battery-Electric Propulsion System

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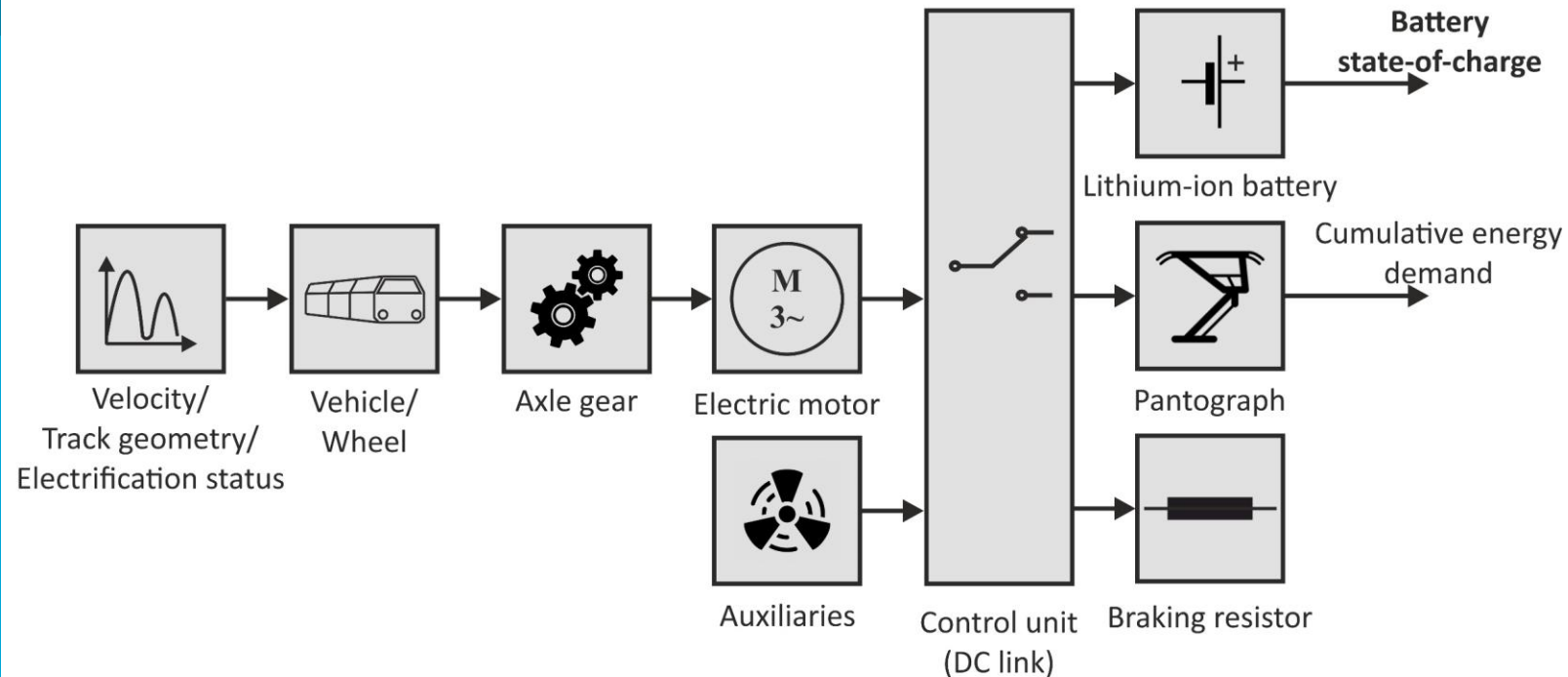
Configuration and Modelling of Battery-Electric Propulsion System

Battery-Electric Propulsion System



Modelling of Battery-Electric Propulsion System

- Backward-looking quasi-static simulation approach
- MATLAB®/Simulink© environment
- OPEUS Simulink toolbox

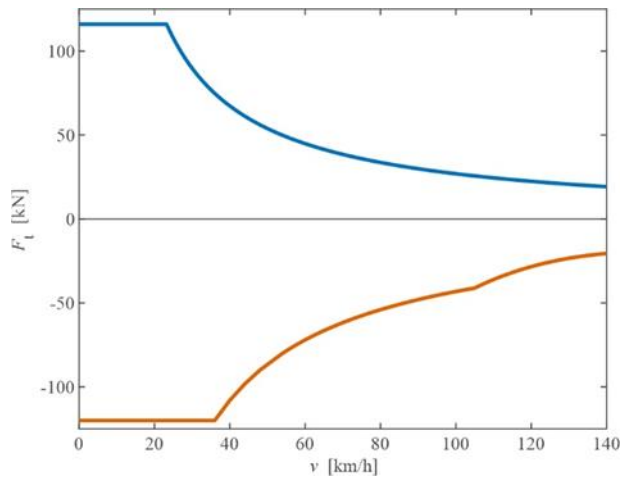


- Kapetanović, M., Nunez, A., van Oort, N., Goverde, R. (2021). Reducing fuel consumption and related emissions through optimal sizing of energy storage systems for diesel-electric trains. *Appl. Energy*, 294, 117018 .
- Kapetanović, M., Vajihi, M., Goverde, R.M.P. (2021), "Analysis of Hybrid and Plug-In Hybrid Alternative Propulsion Systems for Regional Diesel-Electric Multiple Unit Trains", *Energies*, 14(18), 5920.
- Kapetanović, M., Nunez, A., van Oort, N. and Goverde, R.M.P. (2022), "Analysis of hydrogen-powered propulsion system alternatives for diesel-electric multiple unit regional trains", *J. Rail Transp. Plan. Manag.*

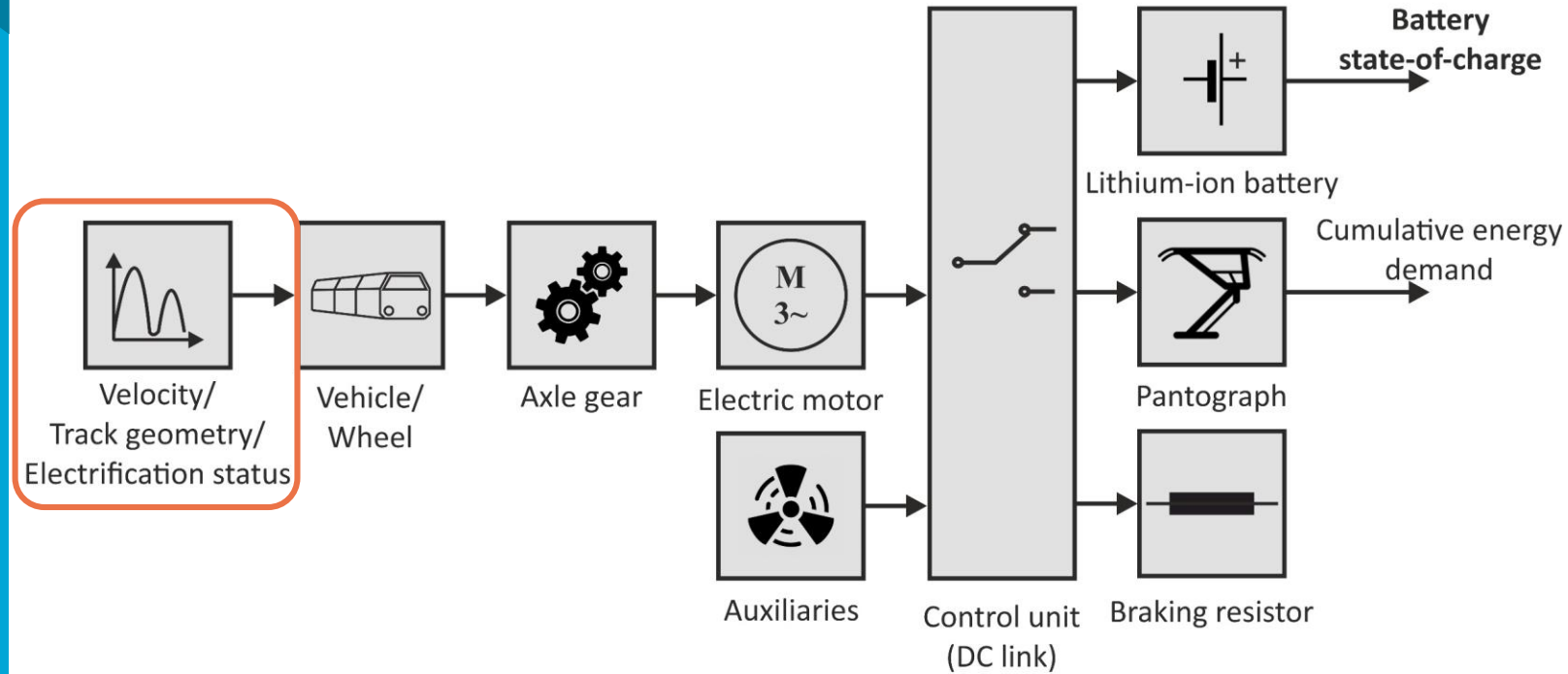
Main inputs of the simulation model:

- **Vehicle parameters**
- **Track geometry**
- **Electrification status**
- **Velocity profile** (complying with the timetable, speed limits, vehicle weight, and maximum tractive effort)

Tractive effort vs. velocity curve:



Modelling of Battery-Electric Propulsion System



Vehicle/Wheel

Tractive/braking effort at the wheel:

$$F_w(v(t)) = m_v \cdot a(t) + R_v(v(t)) + R_g(\gamma(s(t))) + R_c(\phi(s(t)))$$

with

$$R_v(v(t)) = r_0 + r_1 \cdot v(t) + r_2 \cdot v(t)^2$$

$$R_g(\gamma(s(t))) = m_v \cdot g \cdot \sin(\gamma(s(t)))$$

$$R_c(\phi(s(t))) = \begin{cases} m_v \cdot \frac{4.91}{\phi - 30} & \text{if } \phi < 300 \text{ m} \\ m_v \cdot \frac{6.3}{\phi - 55} & \text{if } \phi \geq 300 \text{ m} \end{cases}$$

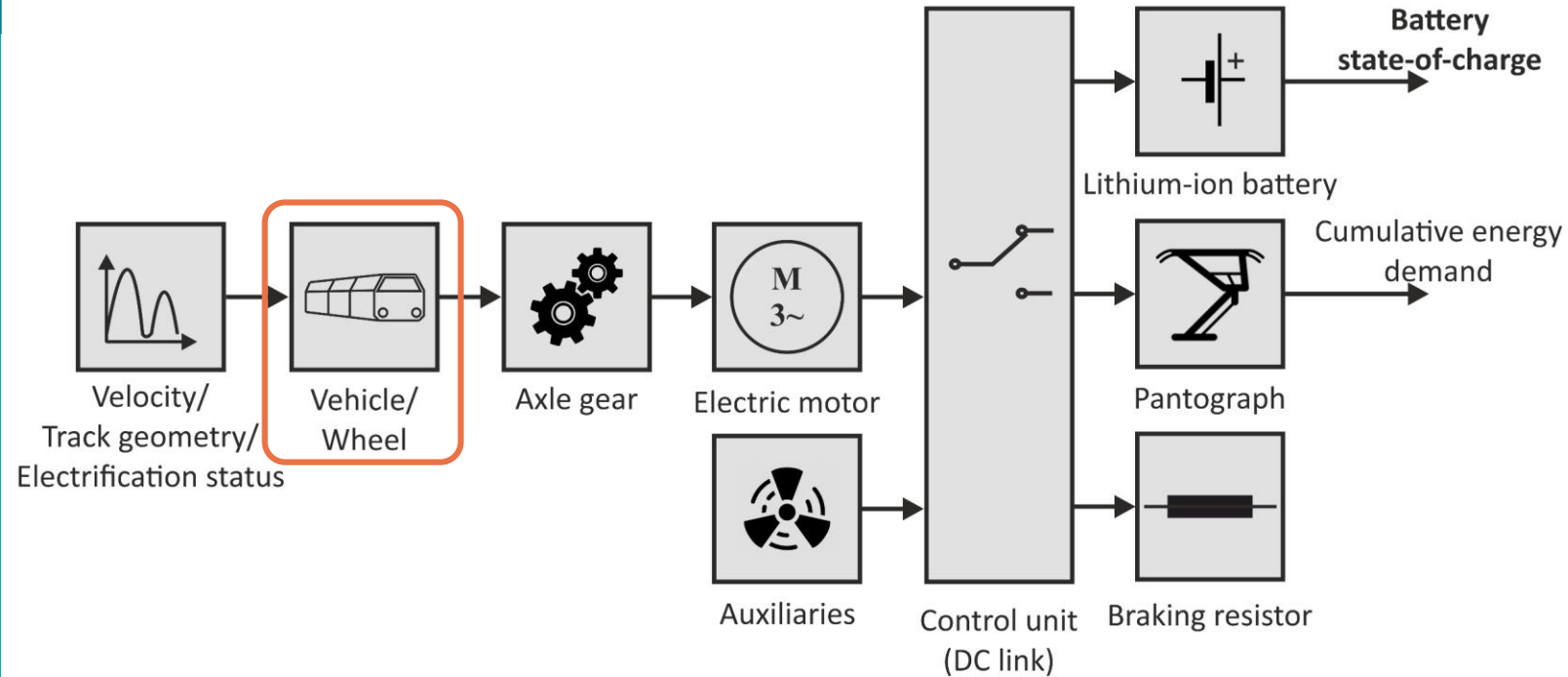
Torque at the wheel:

$$T_w(t) = F_w(t) \cdot \frac{d_w}{2}$$

Rotational speed of the wheel:

$$\omega_w(t) = 2 \cdot \frac{v(t)}{d_w}$$

Modelling of Battery-Electric Propulsion System



Axle Gear

Torque and rotational speed at the mechanical input of the axle gear:

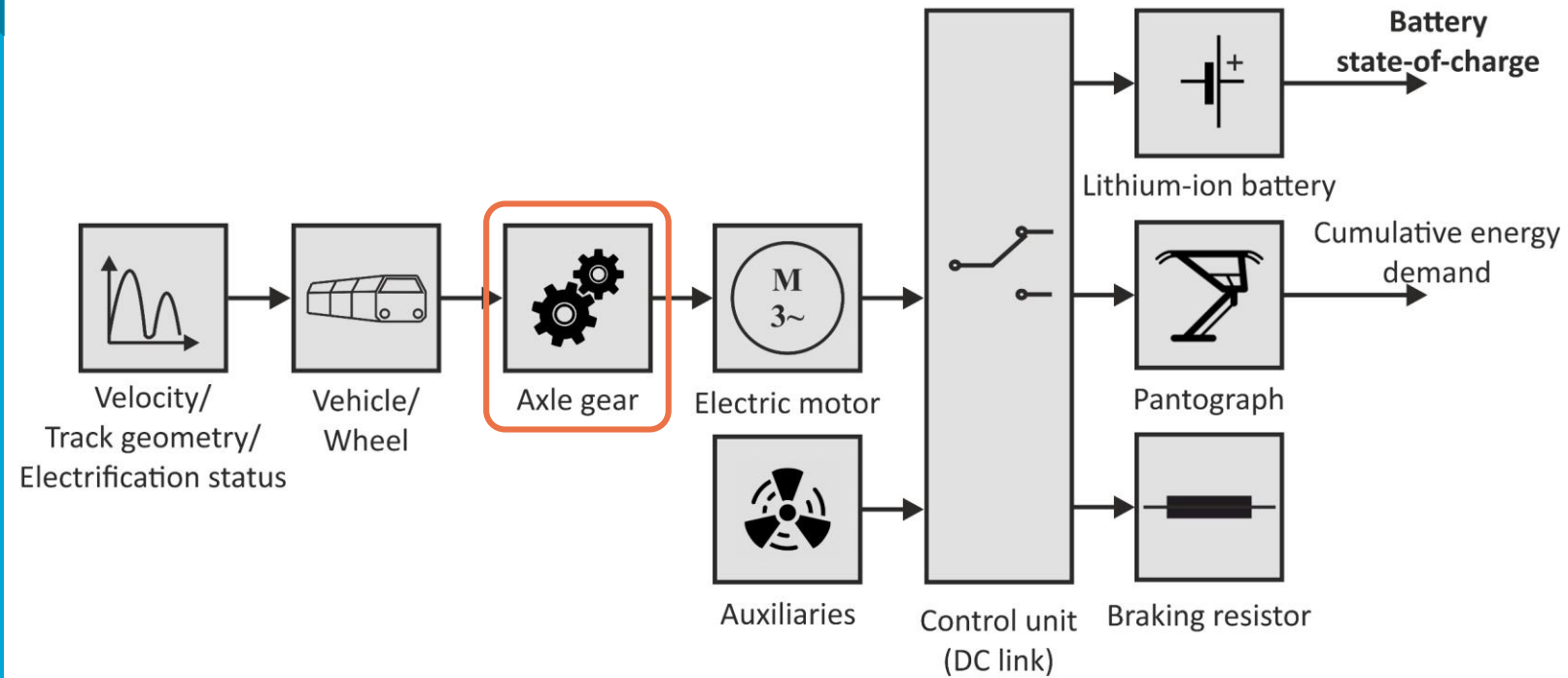
$$T_{EM}(t) = \begin{cases} \frac{T_W(t)}{i_{ag} \cdot \eta_{ag}} & \text{if } T_W \geq 0 \\ \frac{T_W(t) \cdot \eta_{ag}}{i_{ag}} & \text{if } T_W < 0 \end{cases}$$

$$\omega_{EM}(t) = \omega_W(t) \cdot i_{ag}$$

where

$$i_{ag} = \text{const.}$$

$$\eta_{ag} = \text{const.}$$

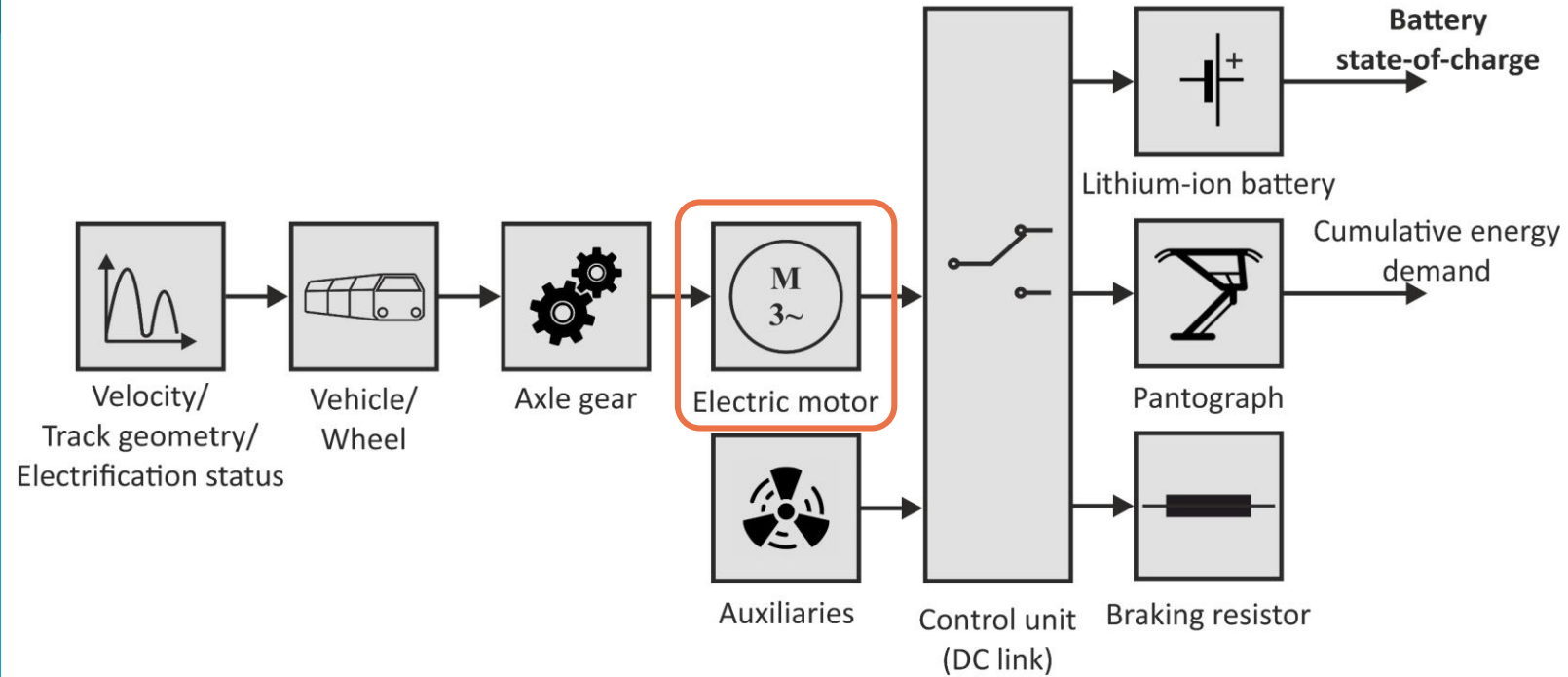
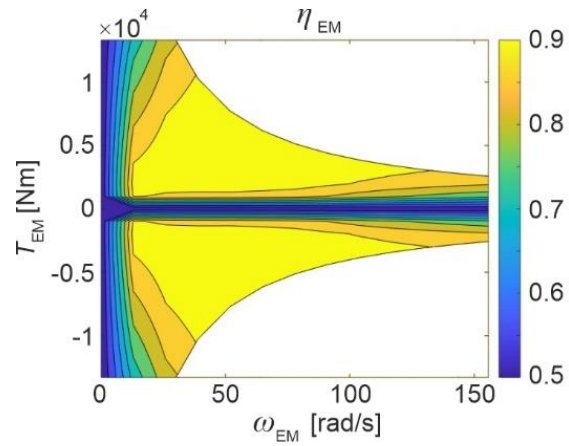


Electric Motor

Electric power:

$$P_{EM}(t) = \begin{cases} \frac{T_{EM}(t) \cdot \omega_{EM}(t)}{\eta_{EM}(T_{EM}(t), \omega_{EM}(t))} & \text{if } T_{EM} \geq 0 \\ T_{EM}(t) \cdot \omega_{EM}(t) \cdot \eta_{EM}(T_{EM}(t), \omega_{EM}(t)) & \text{if } T_{EM} < 0 \end{cases}$$

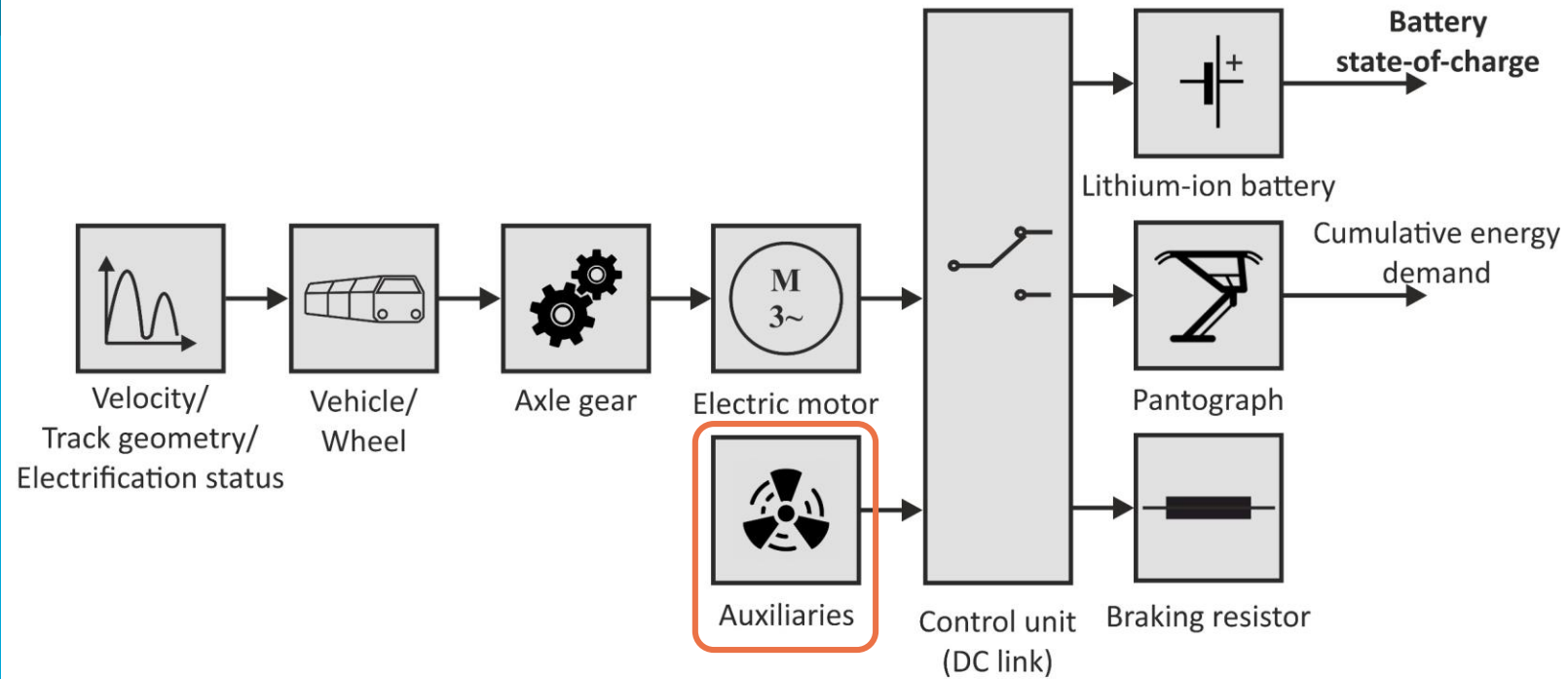
Efficiency map:



Auxiliaries

Total auxiliary systems' power:

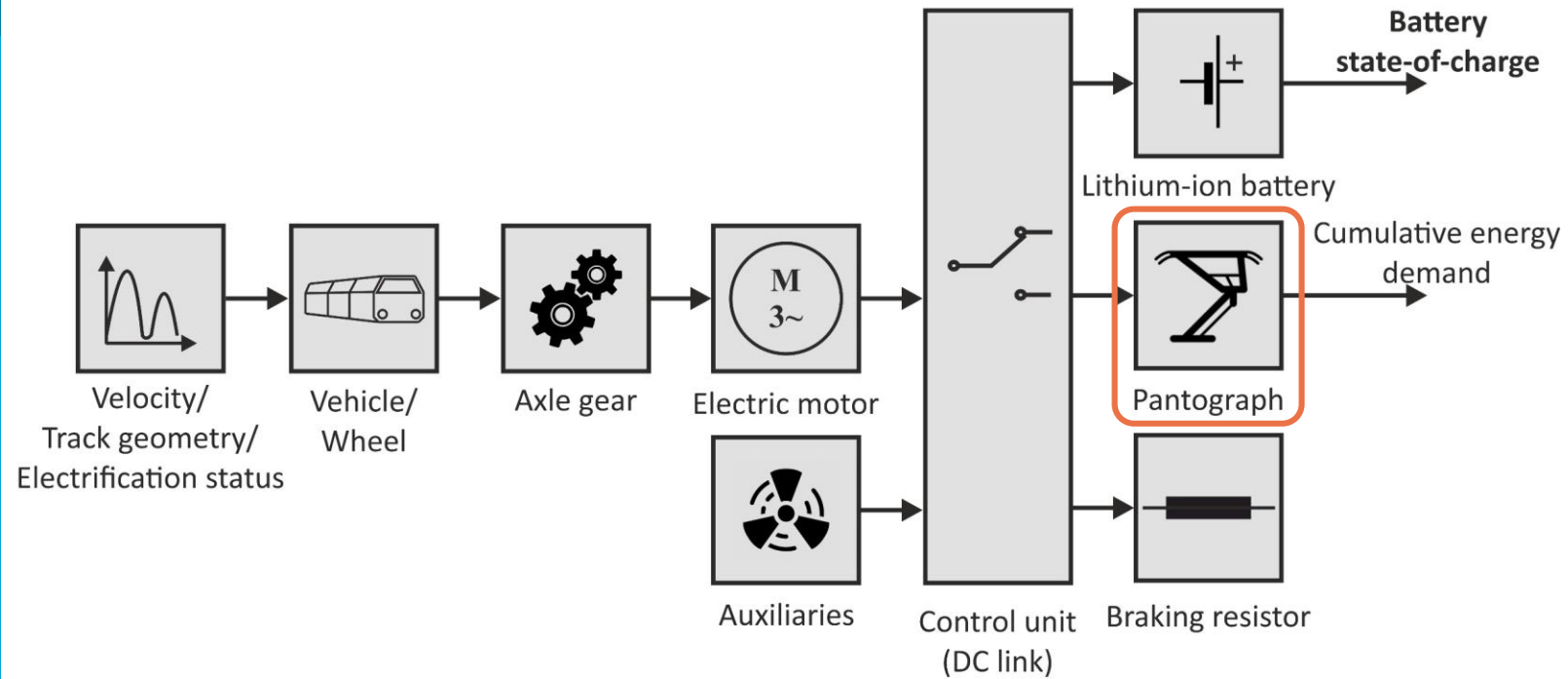
$$P_{\text{aux}}(t) = P_{\text{aux,const}} + p_{\text{cool}} \cdot |P_{\text{EM}}(t)|$$



Pantograph

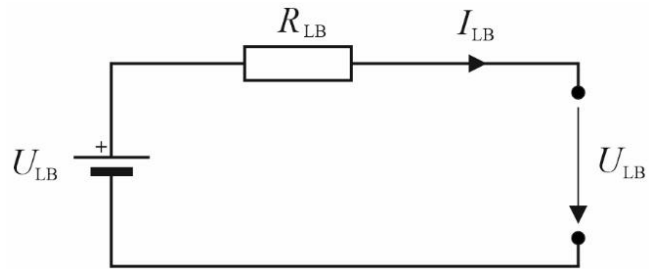
Cumulative electrical energy use:

$$E_{\text{pan}}(t) = \int_0^t P_{\text{pan}}(\tau) d\tau$$

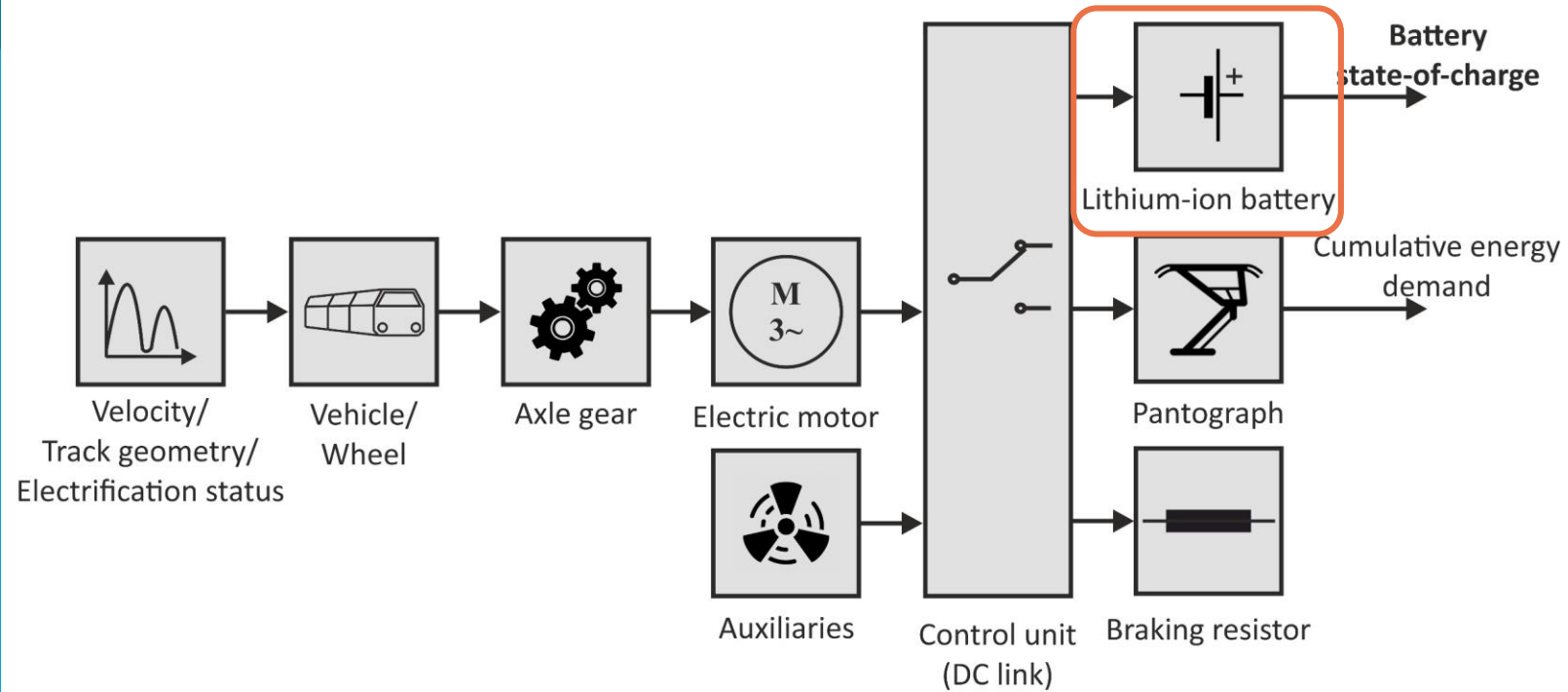
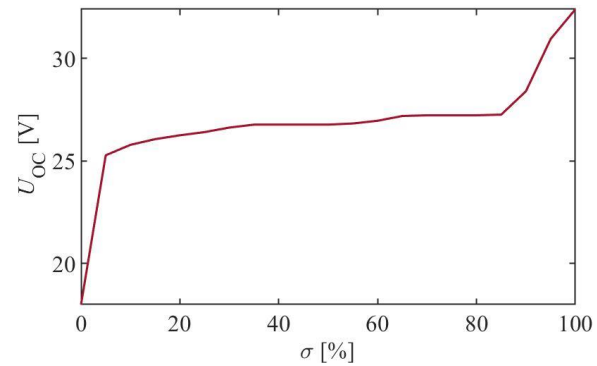


Lithium-ion Battery

Equivalent electrical circuit:



Open circuit voltage as a function of state-of-charge:



Lithium-ion Battery

Current:

$$I_{LB}(t) = \frac{U_{OC}(\sigma_{LB}(t)) - \sqrt{U_{OC}(\sigma_{LB}(t))^2 - 4 \cdot P_{LB}(t) \cdot R_{LB}(I_{LB}(t))}}{2 \cdot R_{LB}(I_{LB}(t))}$$

Terminal voltage:

$$U_{LB}(t) = U_{OC}(\sigma_{LB}(t)) - R_{LB}(I_{LB}(t)) \cdot I_{LB}(t)$$

State-of-charge (SoC):

$$\sigma_{LB}(t) = \sigma_{LB}(0) - \frac{1}{Q_{LB}} \cdot \int_0^t I_{LB}(\tau) d\tau$$

Maximum discharging/charging power:

$$P_{LB}^{\max}(t) = (U_{OC}(\sigma_{LB}(t)) - R_{LB}^{\text{dch}} \cdot I_{LB}^{\max}(t)) \cdot I_{LB}^{\max}(t)$$

$$P_{LB}^{\min}(t) = (U_{OC}(\sigma_{LB}(t)) - R_{LB}^{\text{ch}} \cdot I_{LB}^{\min}(t)) \cdot I_{LB}^{\min}(t)$$

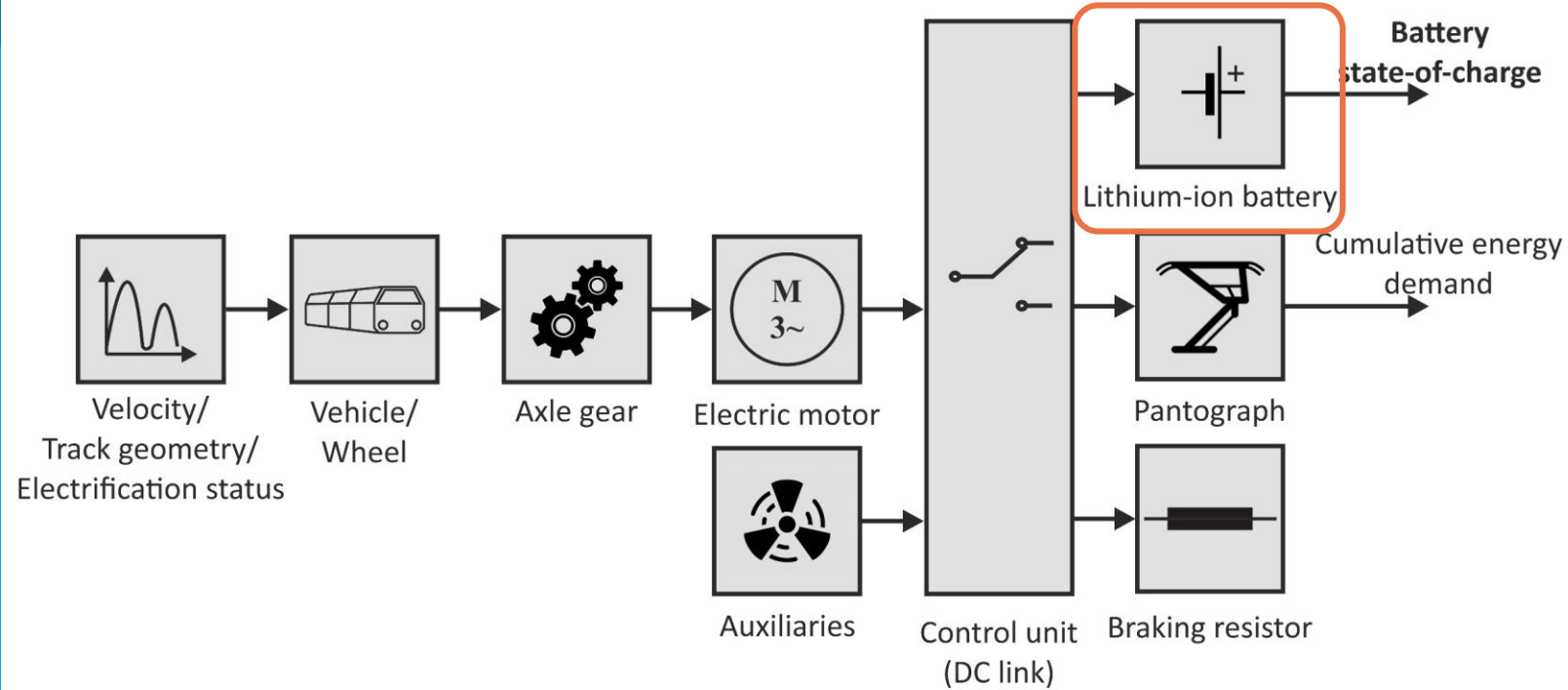
with

$$I_{LB}^{\max}(t) = \min \left\{ \frac{U_{OC}(\sigma_{LB}(t)) - U_{LB}^{\min}}{R_{LB}^{\text{dch}}}, \frac{(\sigma_{LB}(t) - \sigma_{LB}^{\min}) \cdot Q_{LB}}{\Delta t}, I_{LB}^{\max, \text{dch}}(t) \right\}$$

$$I_{LB}^{\min}(t) = \max \left\{ \frac{U_{OC}(\sigma_{LB}(t)) - U_{LB}^{\max}}{R_{LB}^{\text{ch}}}, \frac{(\sigma_{LB}(t) - \sigma_{LB}^{\max}) \cdot Q_{LB}}{\Delta t}, I_{LB}^{\max, \text{ch}}(t) \right\}$$

$$I_{LB}^{\max, \text{dch}}(t) = \begin{cases} I_{LB}^{\text{peak, dch}} & \text{if } t_{\text{cnt}}^{\text{dch}}(t) < t_{\text{peak}}^{\text{dch}} \\ I_{LB}^{\text{cont, dch}} & \text{if } t_{\text{cnt}}^{\text{dch}}(t) \geq t_{\text{peak}}^{\text{dch}} \end{cases}$$

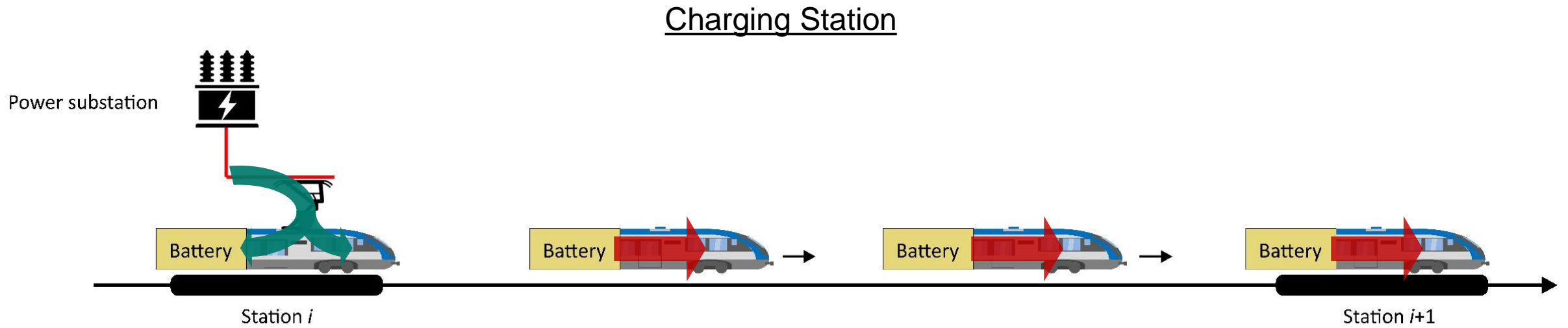
$$I_{LB}^{\max, \text{ch}}(t) = \begin{cases} I_{LB}^{\text{peak, ch}} & \text{if } t_{\text{cnt}}^{\text{ch}}(t) < t_{\text{peak}}^{\text{ch}} \\ I_{LB}^{\text{cont, ch}} & \text{if } t_{\text{cnt}}^{\text{ch}}(t) \geq t_{\text{peak}}^{\text{ch}} \end{cases}$$



Content

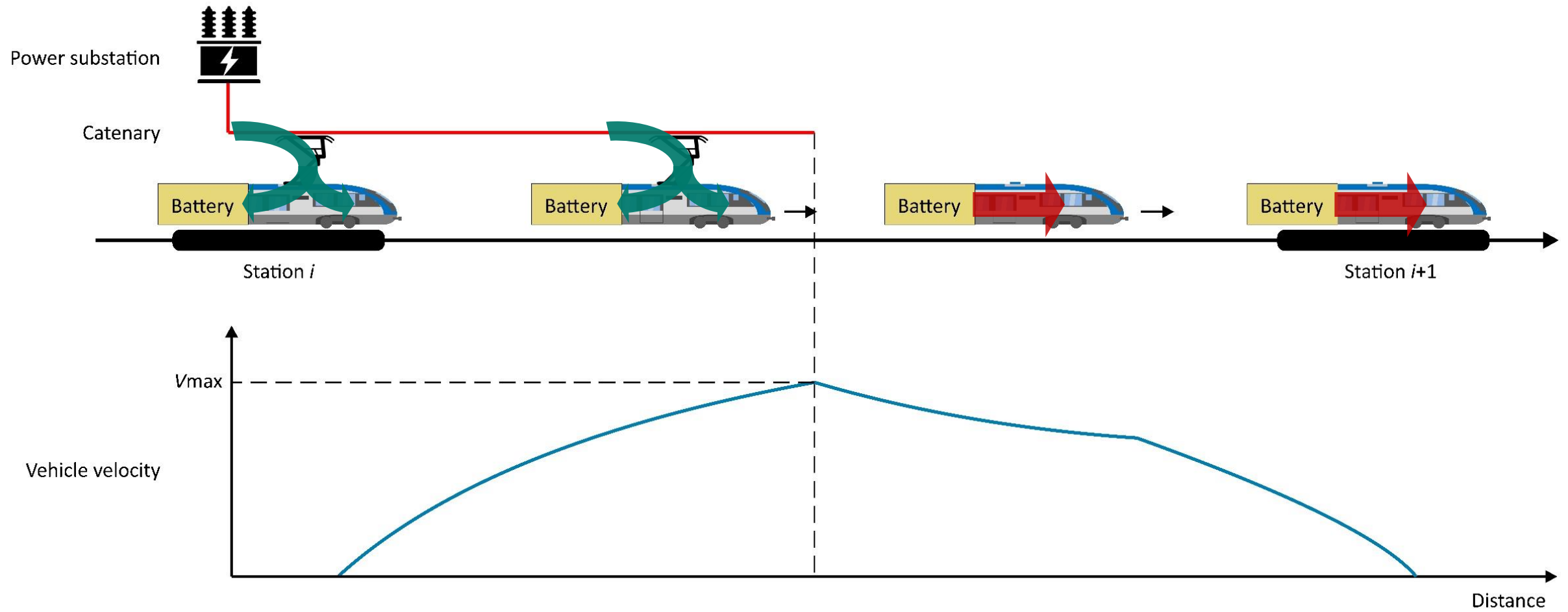
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Electrification Alternatives

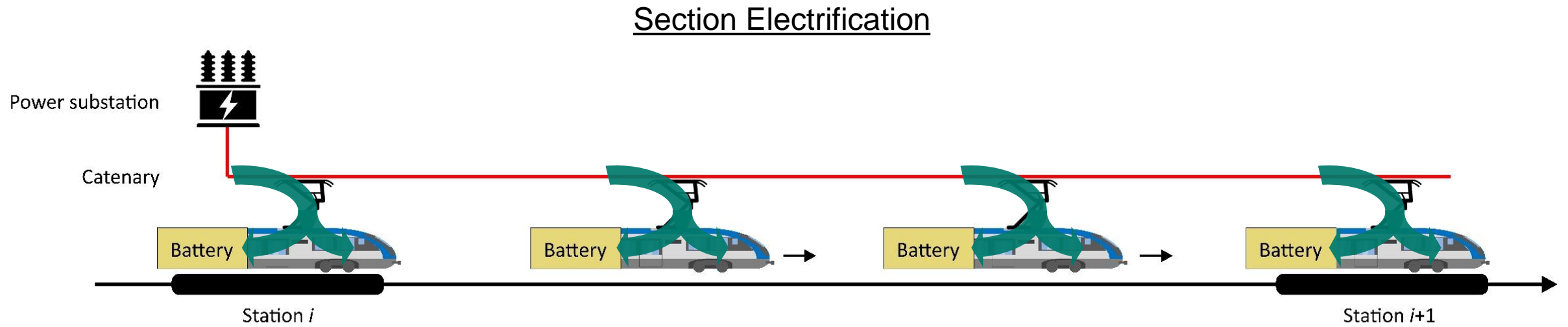


Electrification Alternatives

Accelerating Catenary

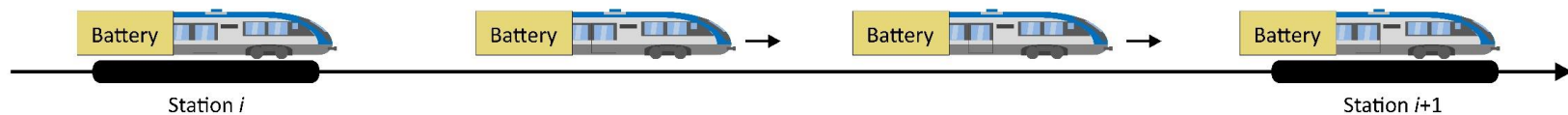


Electrification Alternatives

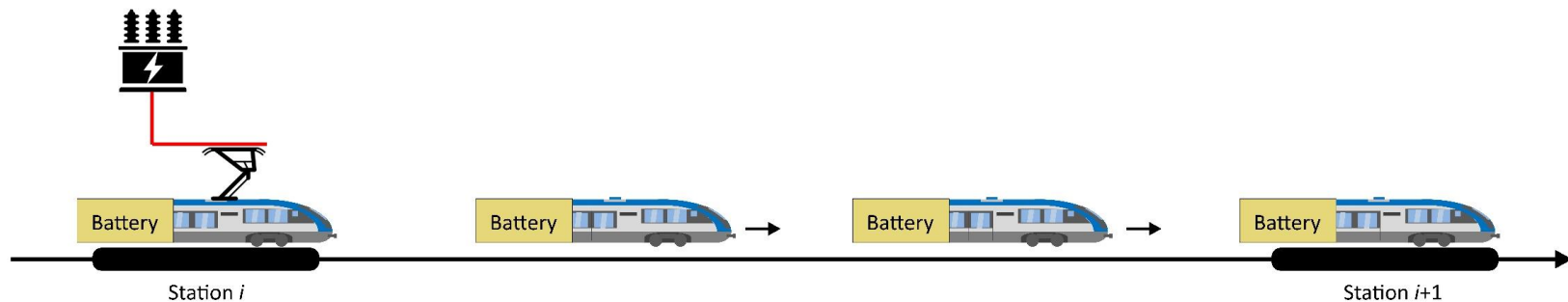


Electrification Alternatives

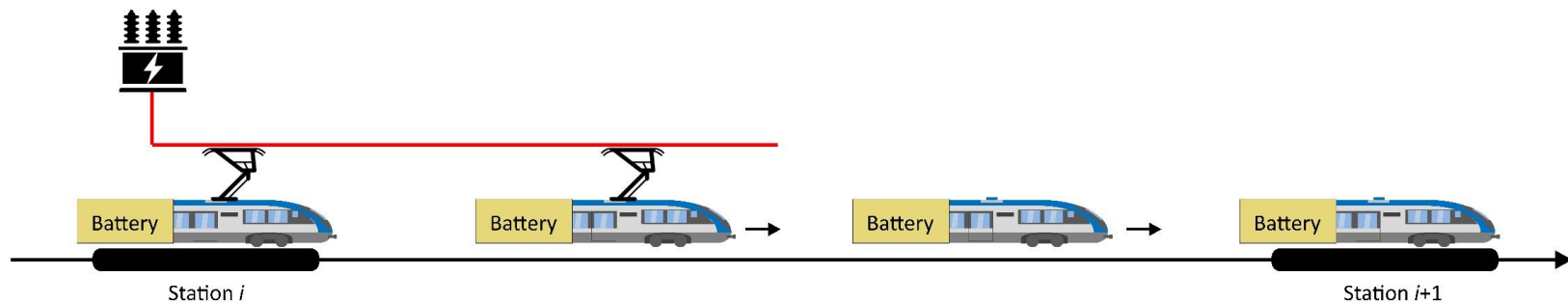
No Electrification



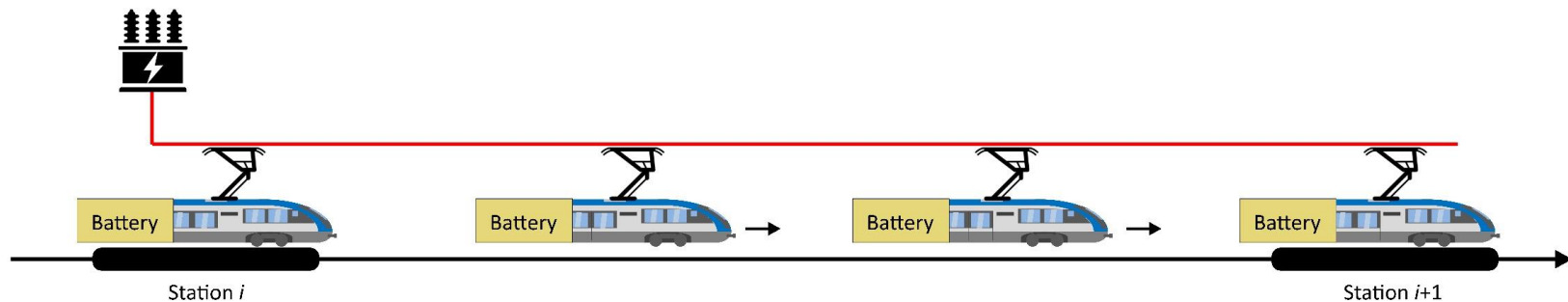
Charging Station



Accelerating Catenary



Section Electrification



Optimization Framework for the Intermittent Track Electrification

The objective is to minimize **capital cost of electrification**, by assigning one of the electrification options to each track section, while complying with the required vehicle range (i.e. maintaining battery SoC above lower threshold):

$$C = C_{PS} + C_{CAT}$$

Power substations cost

$$C_{PS} = n_{PS} \cdot c_{PS}$$

Unit cost for 1.5kV DC (3MVA): 0.9M€

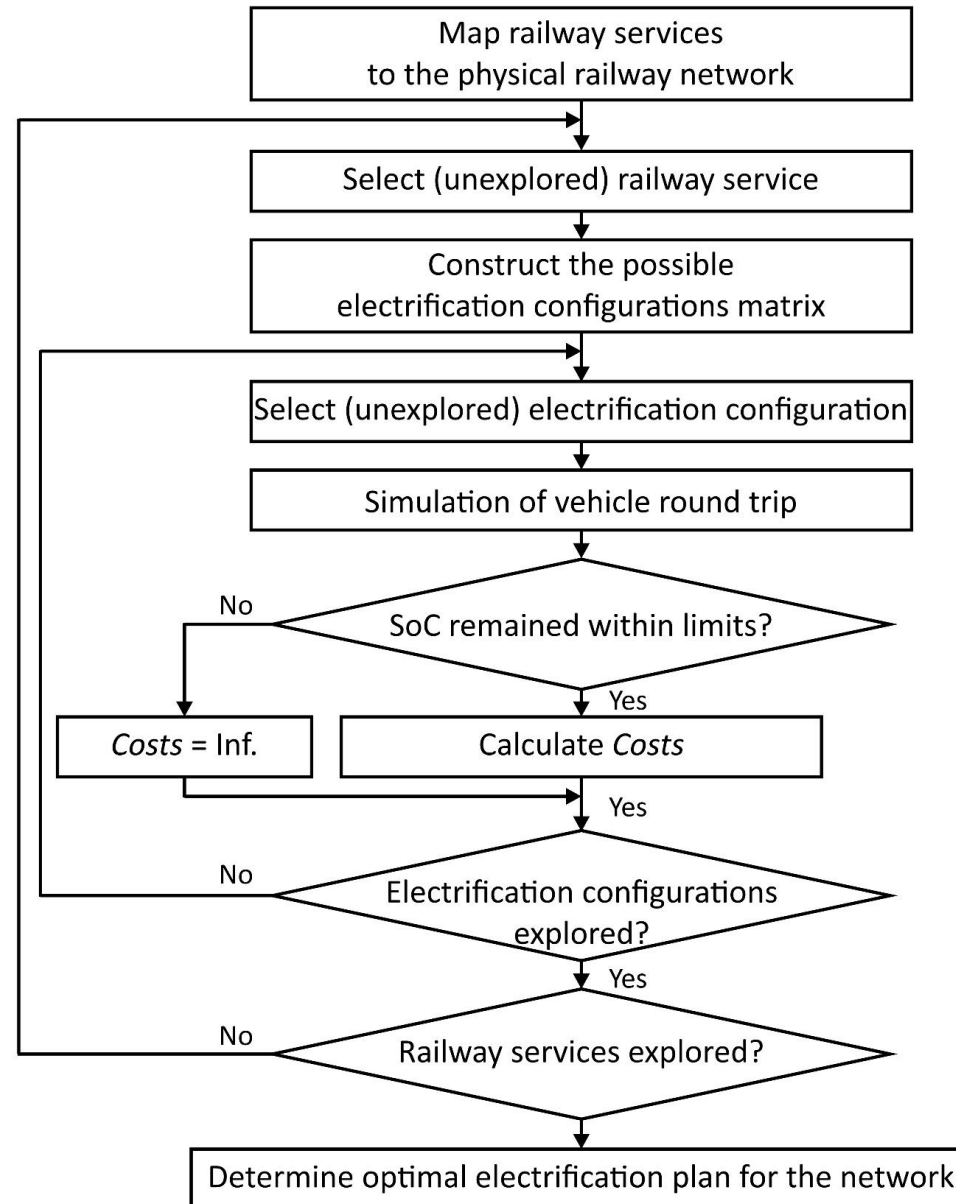
Maximum track coverage: 10km

Catenary cost

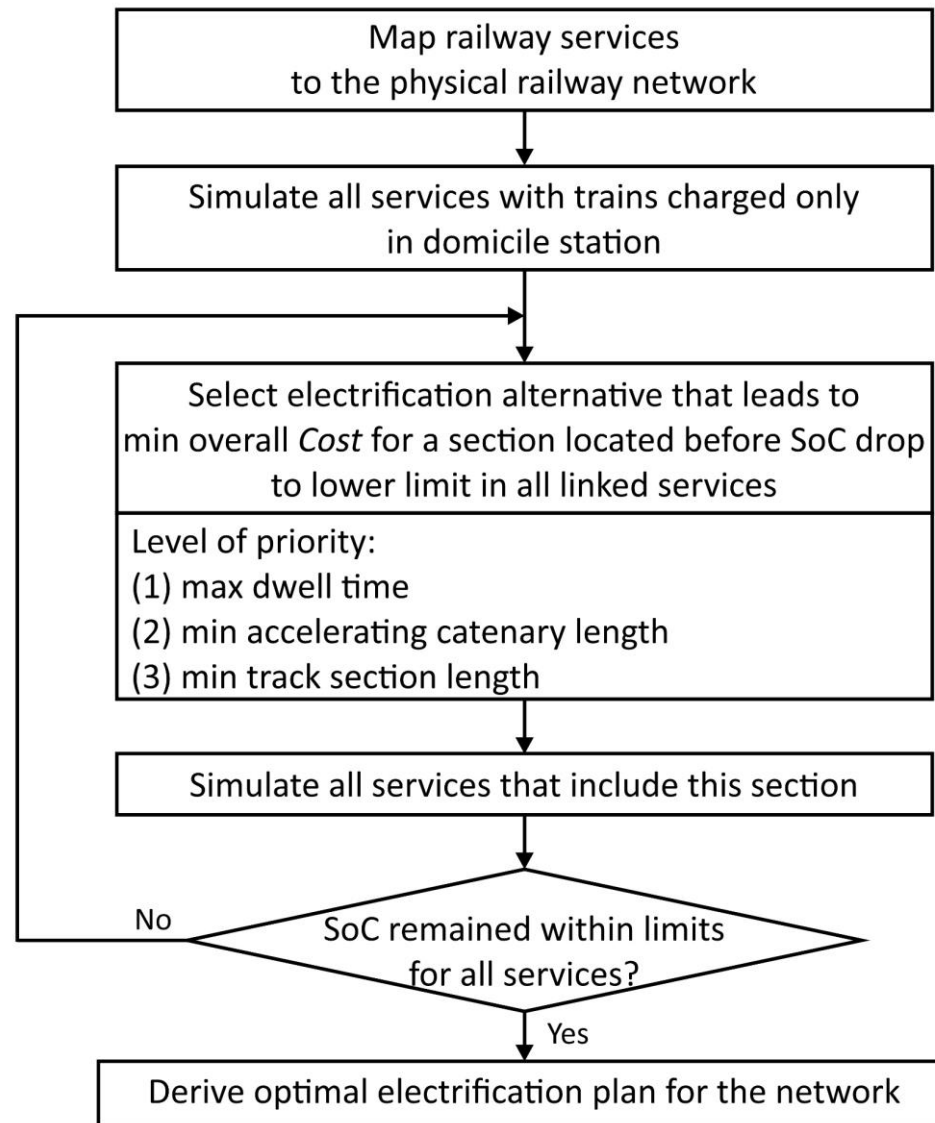
$$C_{CAT} = l_{CAT} \cdot c_{CAT}$$

Unit cost for 1.5kV DC: 0.2M€/km

Optimization Framework for the Intermittent Track Electrification



Optimization Framework for the Intermittent Track Electrification



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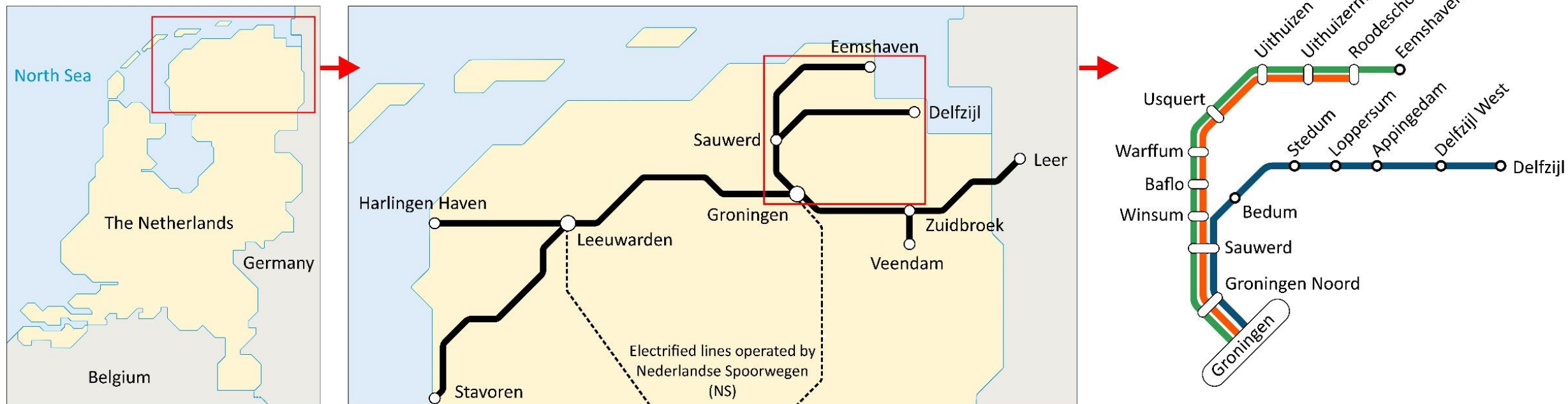
Case Study of the Dutch Northern Lines



Stadler WINK multiple unit

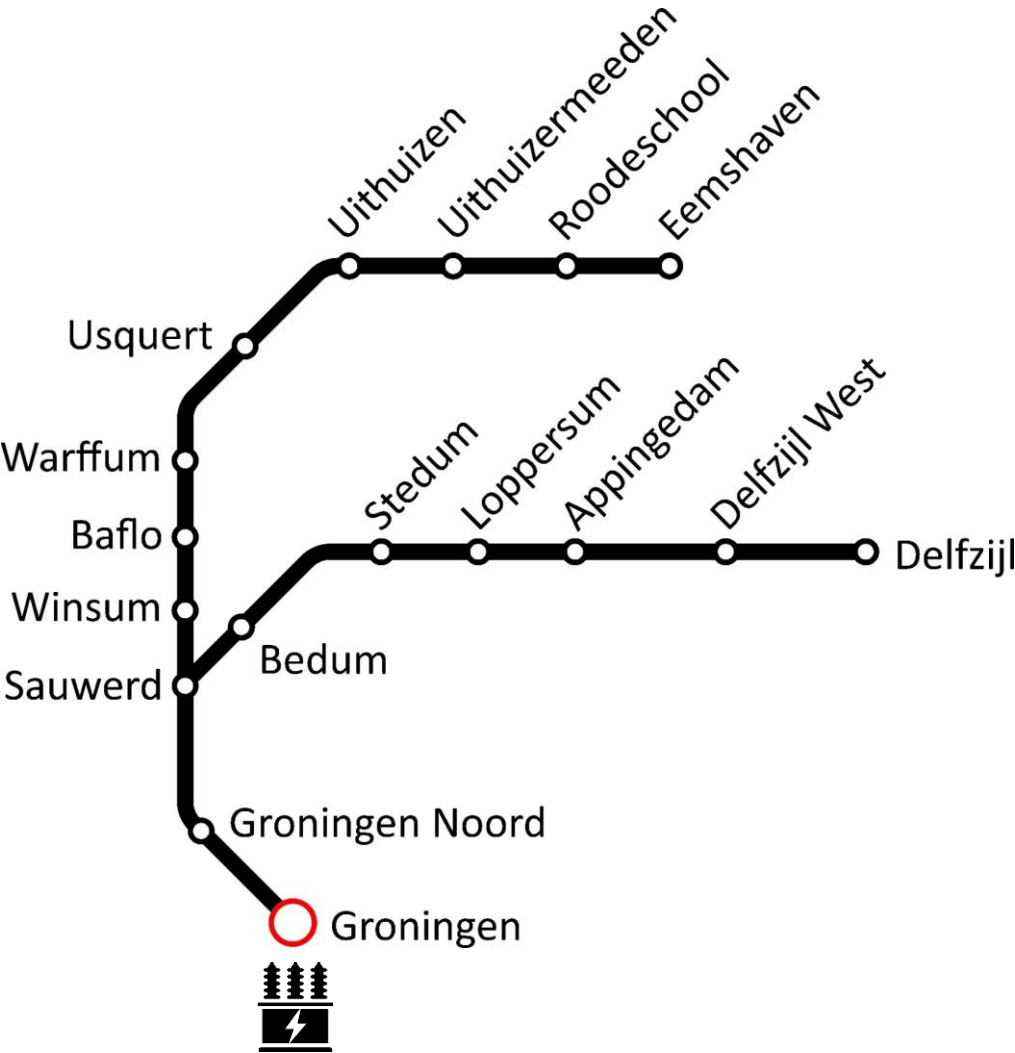
| Parameter | Unit | Value |
|---|-----------------------|--------------|
| Vehicle | | |
| Tare weight | t | Confidential |
| Rotating mass factor | % | Confidential |
| Maximum passengers capacity | - | Confidential |
| Davis equation coefficient (constant term) | N | Confidential |
| Davis equation coefficient (linear term) | N/(km/h) | Confidential |
| Davis equation coefficient (quadratic term) | N/(km/h) ² | Confidential |
| Powered wheel diameter | m | 0.87 |
| Axle gear ratio | - | Confidential |
| Axle gear efficiency | % | Confidential |
| Maximum velocity | km/h | 140 |
| Maximum acceleration | m/s ² | Confidential |
| Maximum deceleration | m/s ² | Confidential |
| Maximum (starting) tractive effort | kN | Confidential |
| Maximum power at the wheel | kW | 748 |
| EM rated power | kW | Confidential |
| Maximum auxiliary systems power | kW | Confidential |
| Lithium-ion battery system | | |
| Number of battery packs | - | 10 |
| Nominal capacity | Ah | Confidential |
| Minimum/maximum continuous current | A | Confidential |
| Minimum/maximum pulse current | A | Confidential |
| Allowed time for pulse current | s | Confidential |
| Minimum/maximum voltage | V | Confidential |
| Internal resistance | Ω | Confidential |
| Minimum/maximum state-of-charge (SOC) | % | 10/90 |
| Energy content | kWh | Confidential |

Case Study of the Dutch Northern Lines

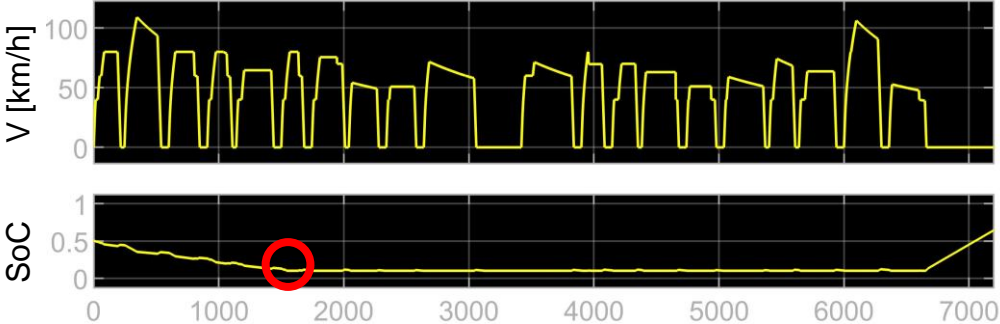


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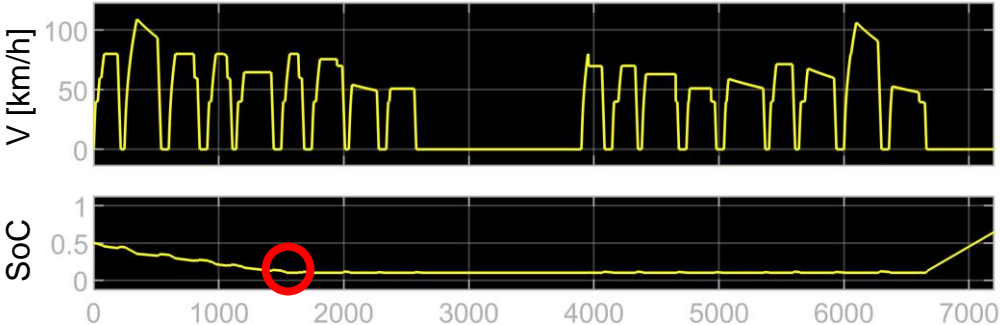
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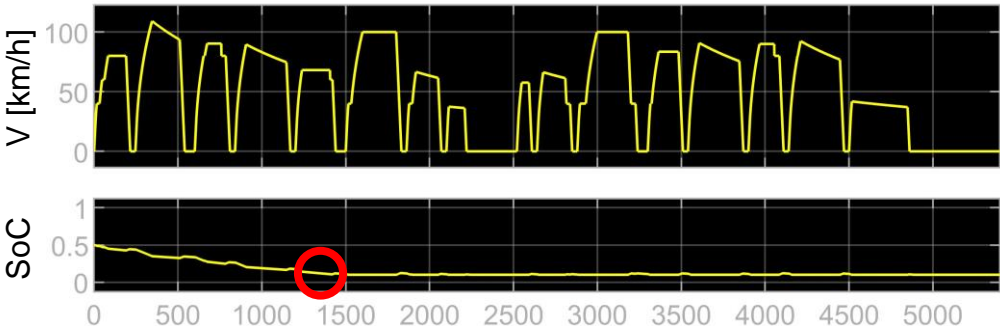
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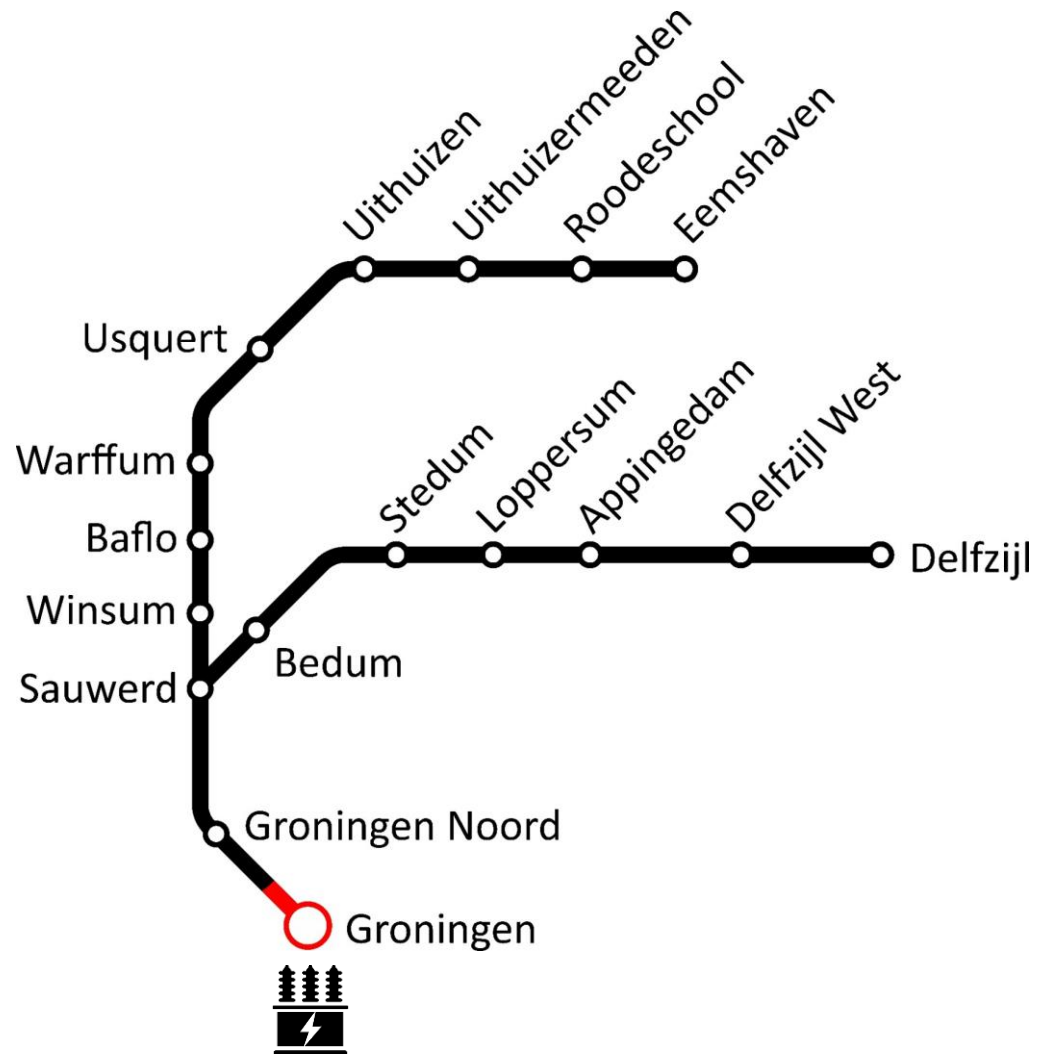


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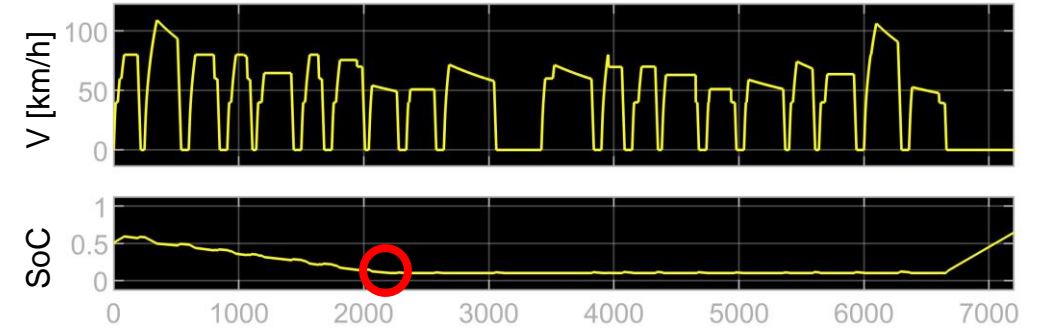


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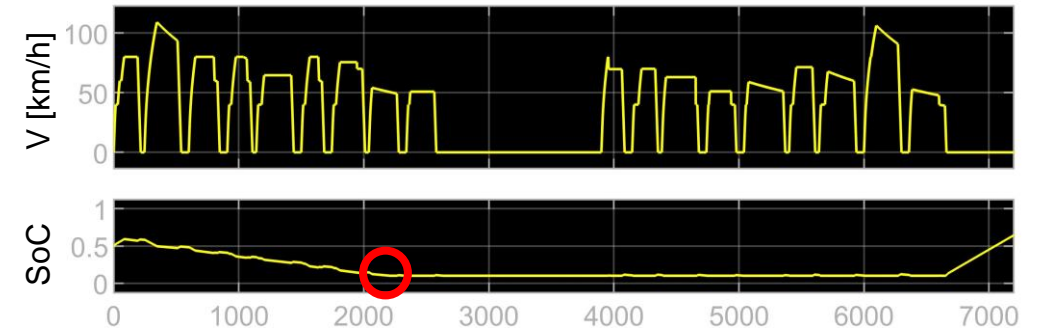
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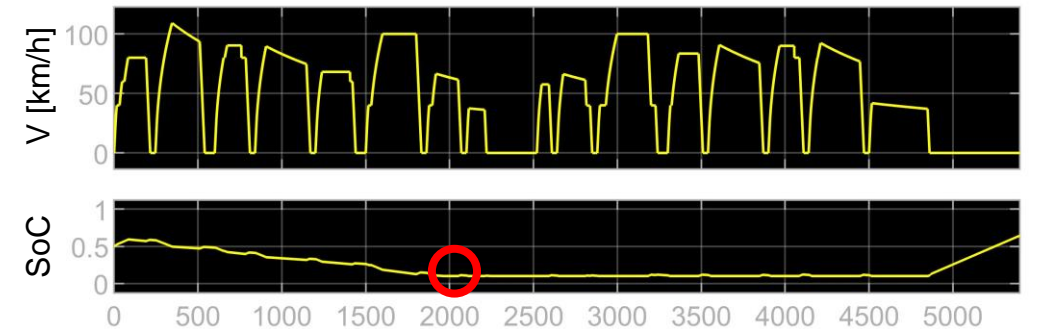
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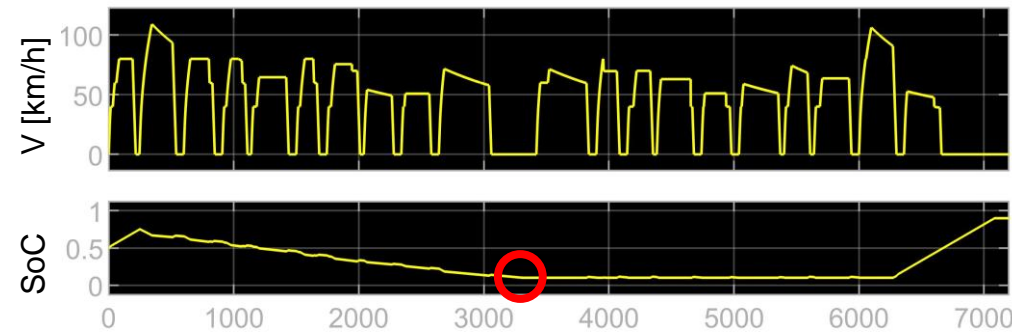


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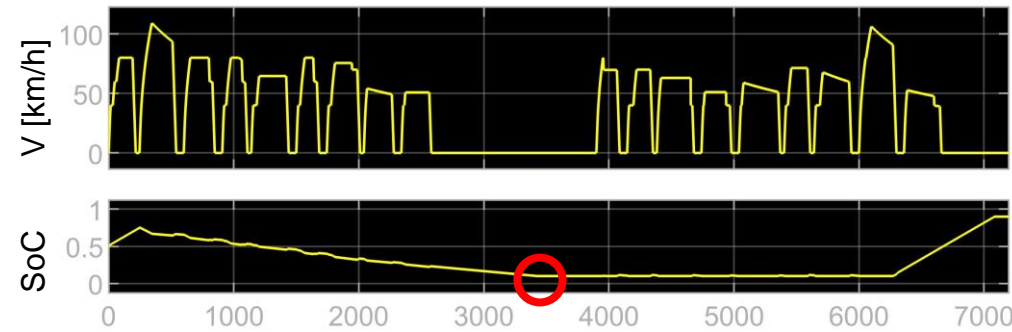
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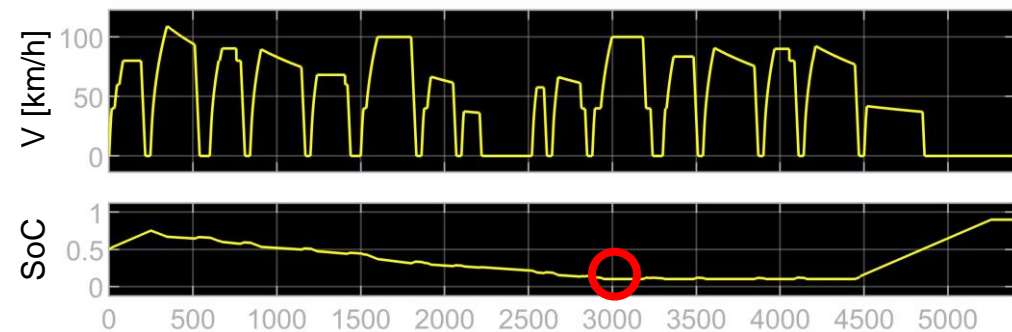
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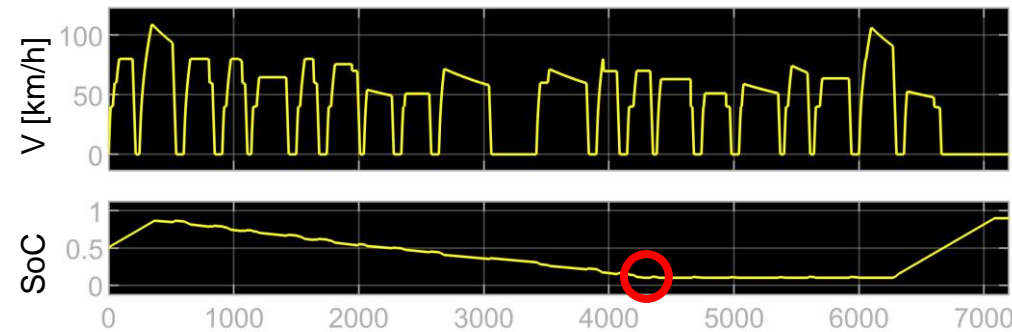


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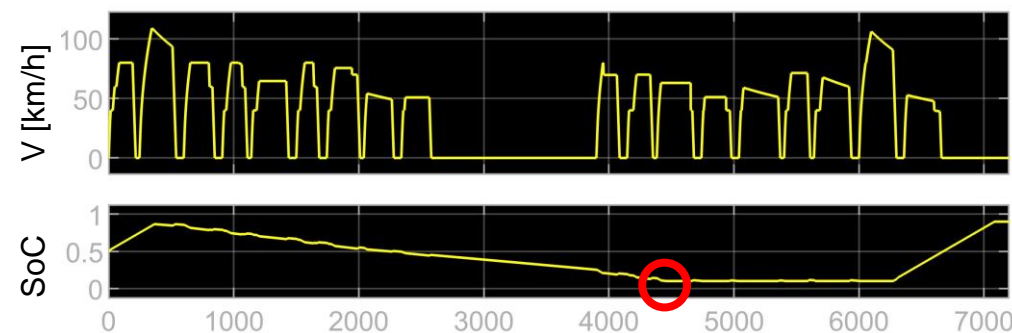
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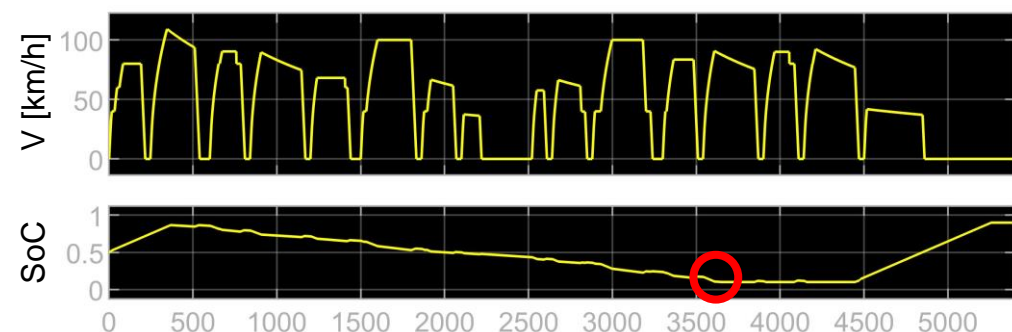
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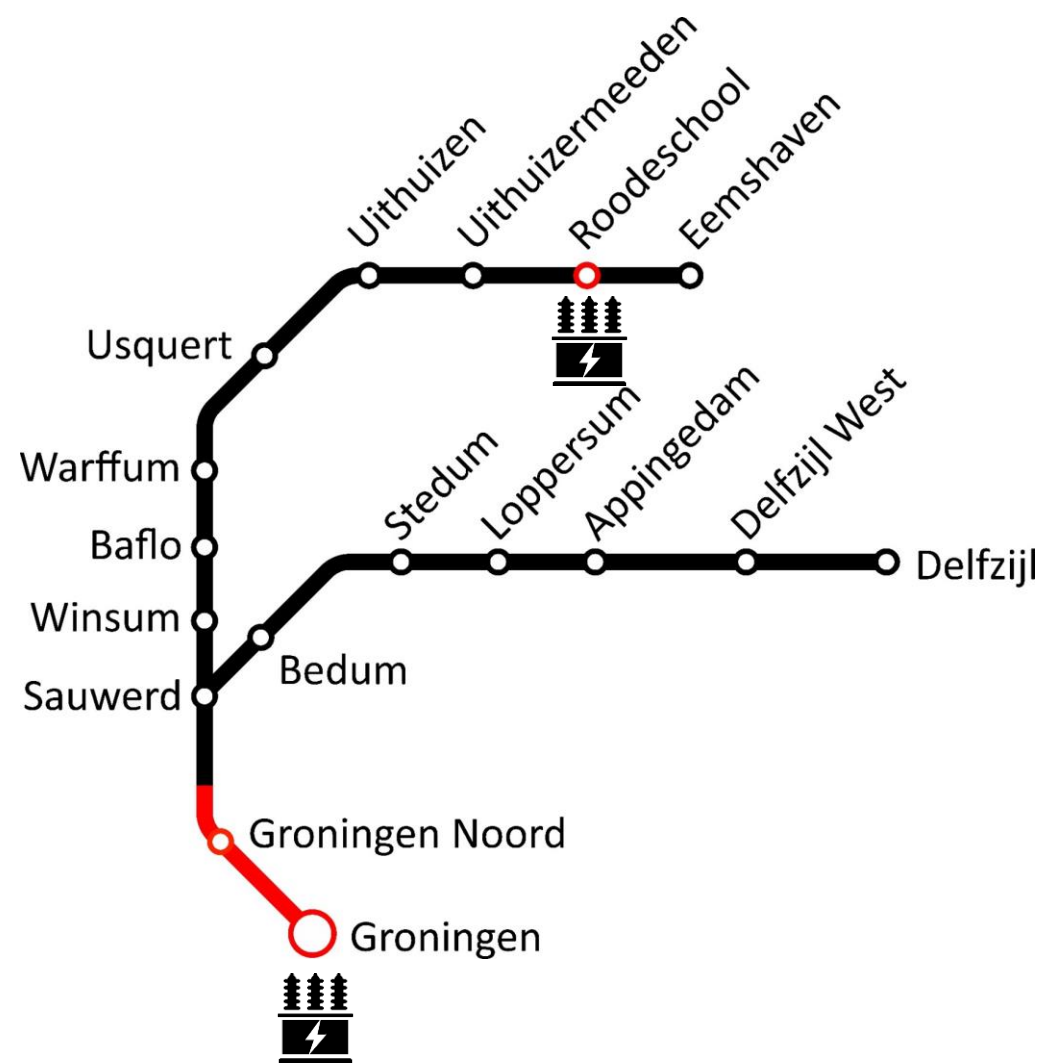


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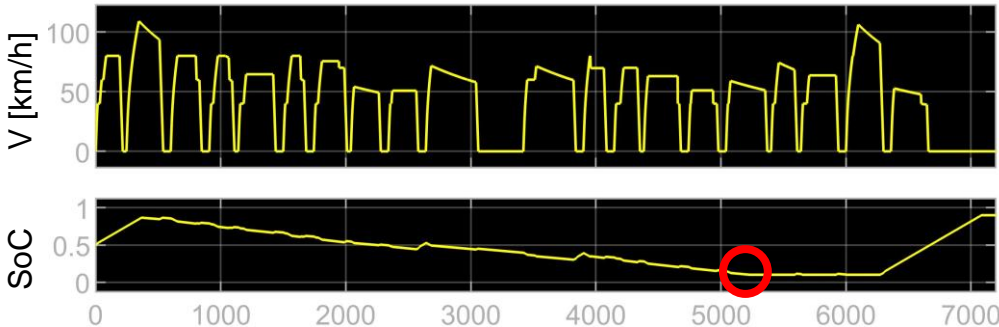


Case Study of the Dutch Northern Lines

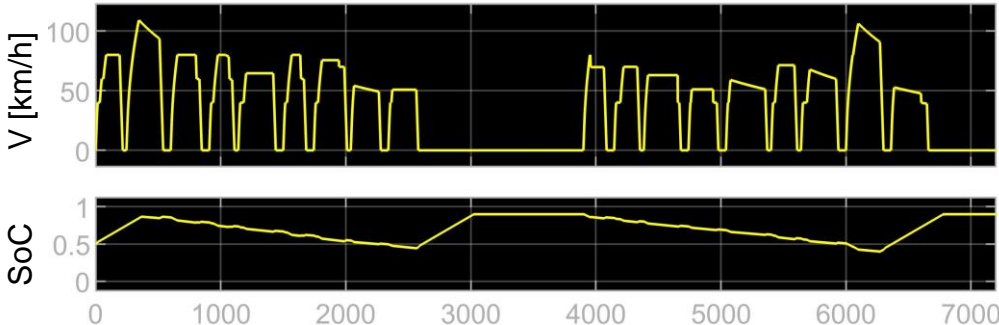
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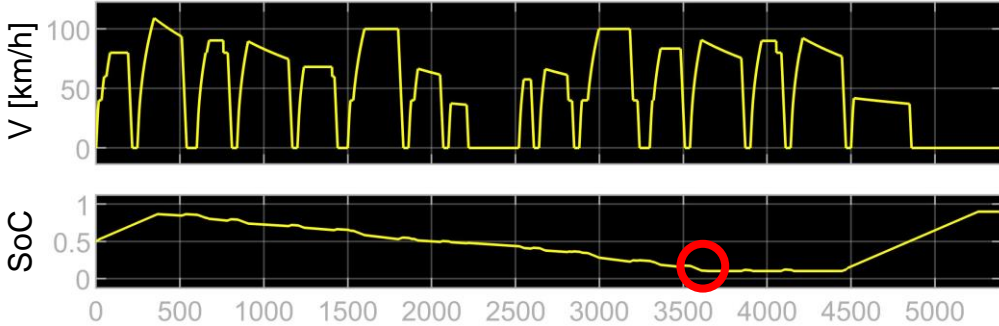
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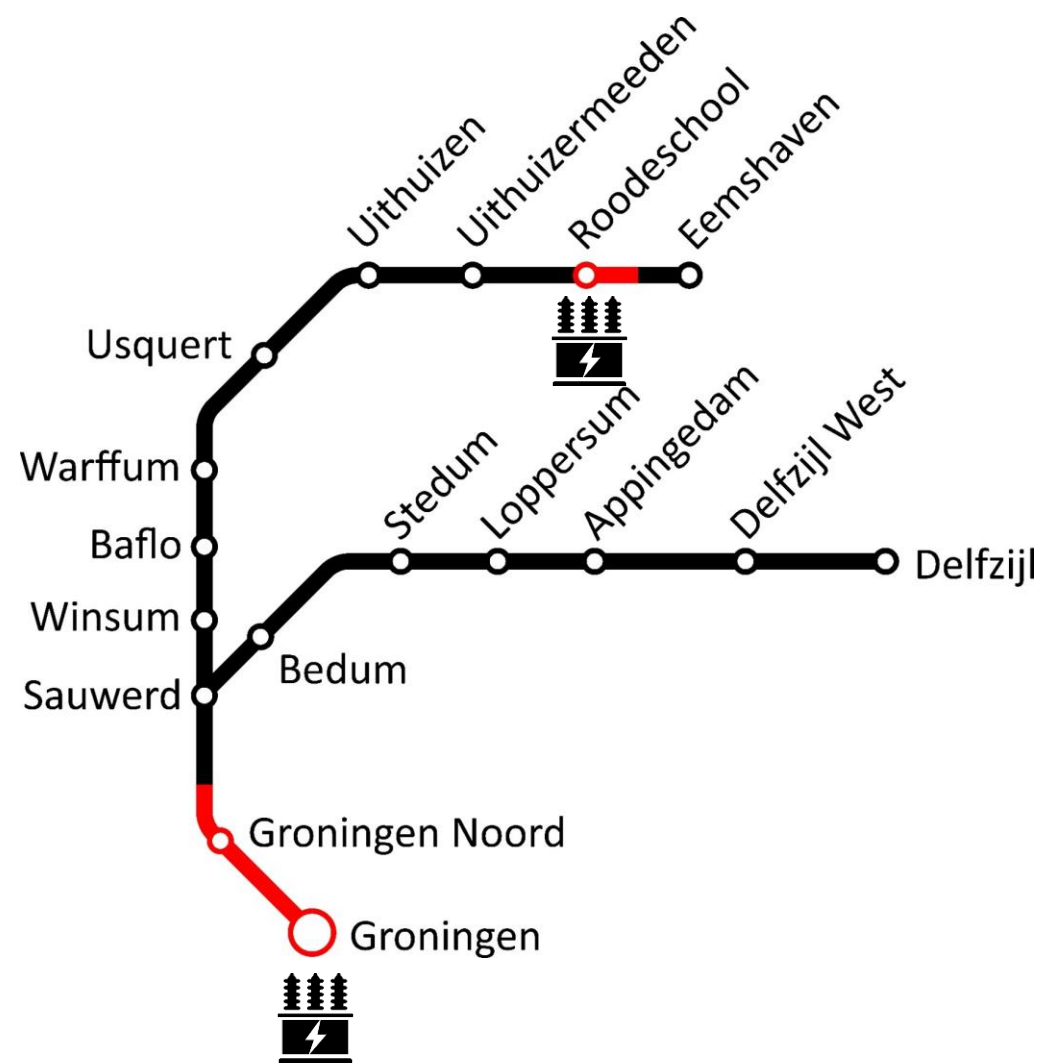


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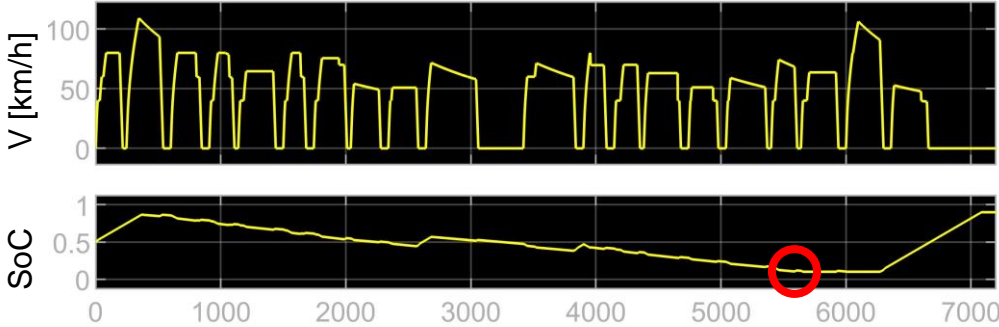


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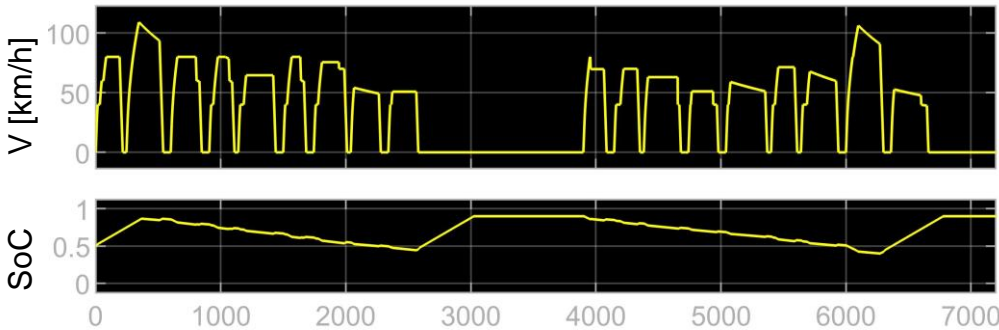
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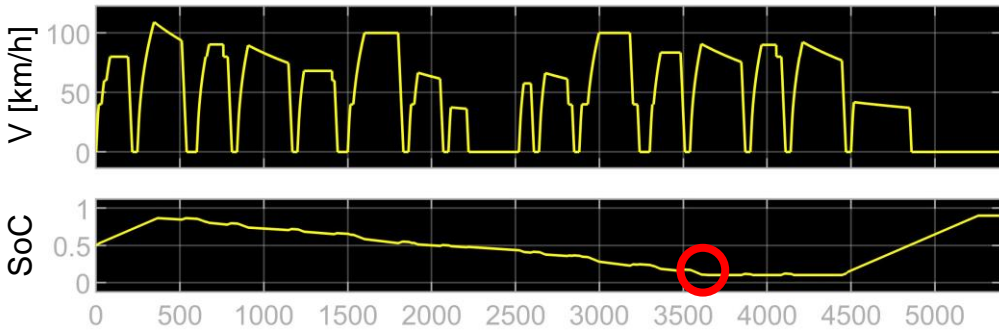
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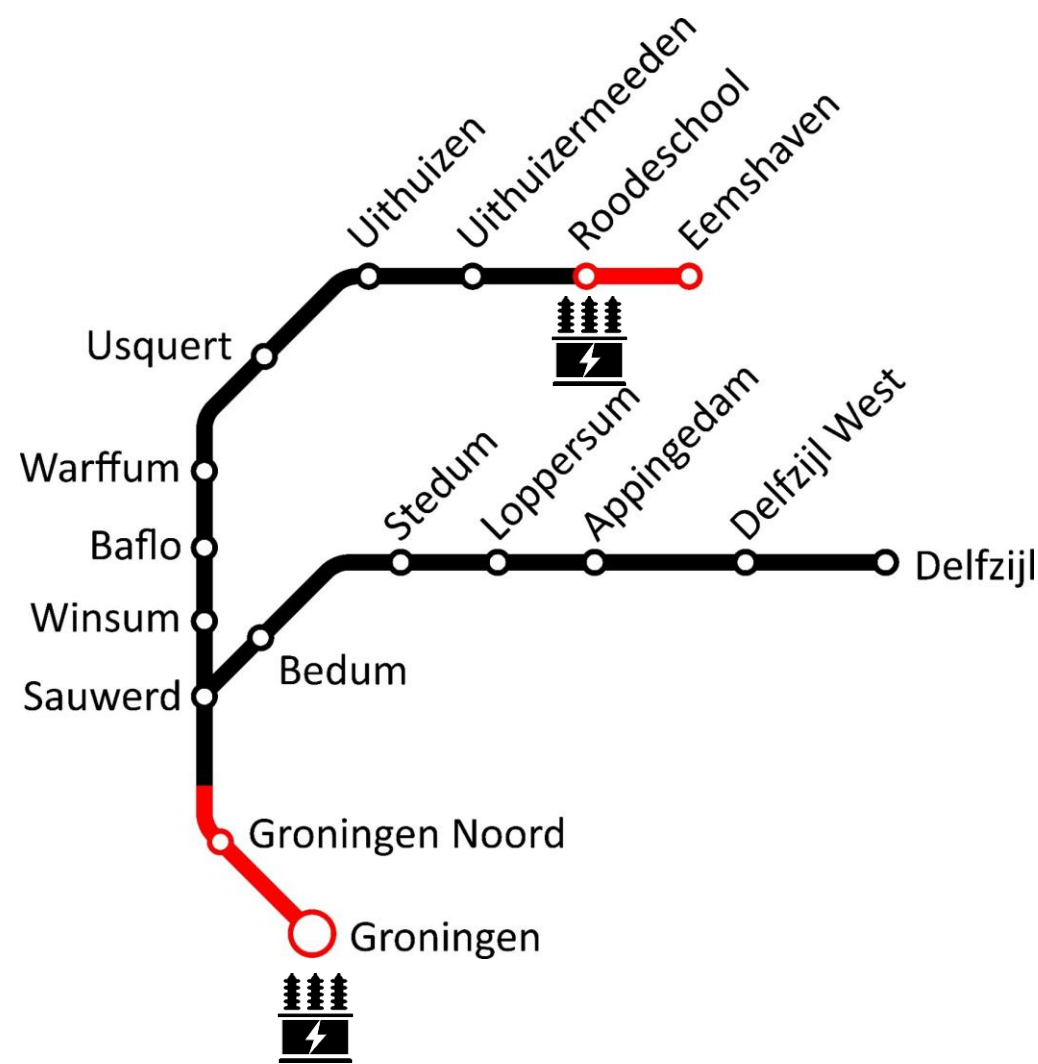


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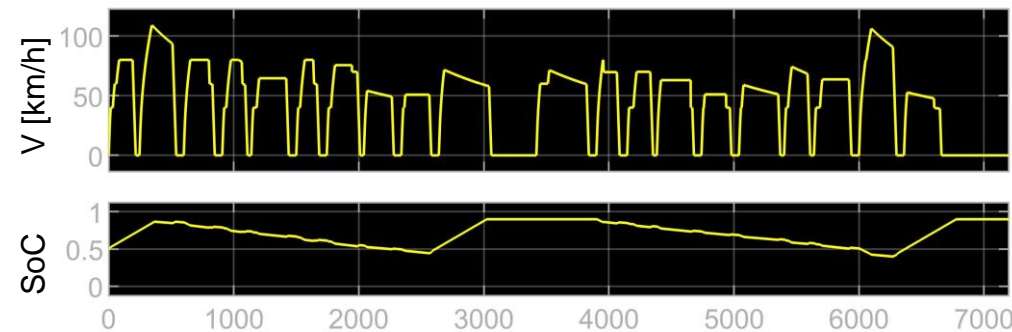


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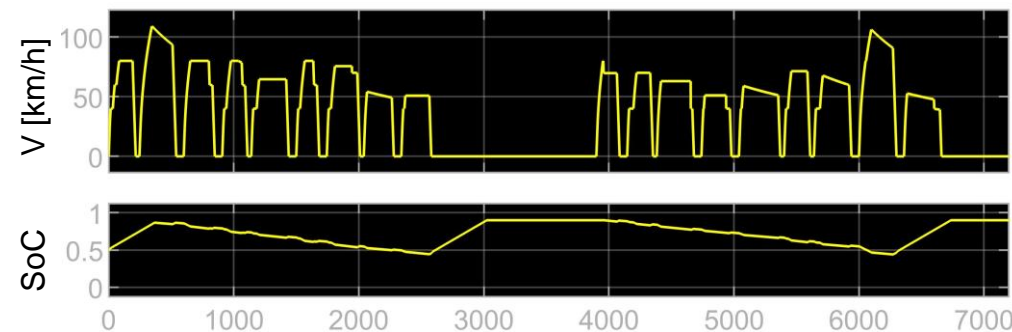
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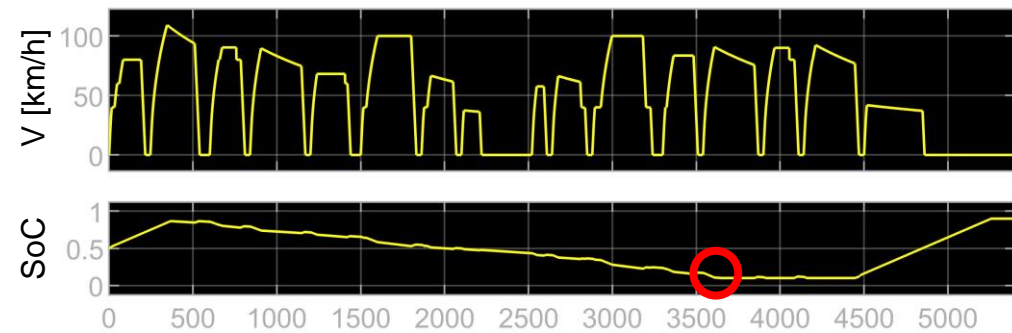
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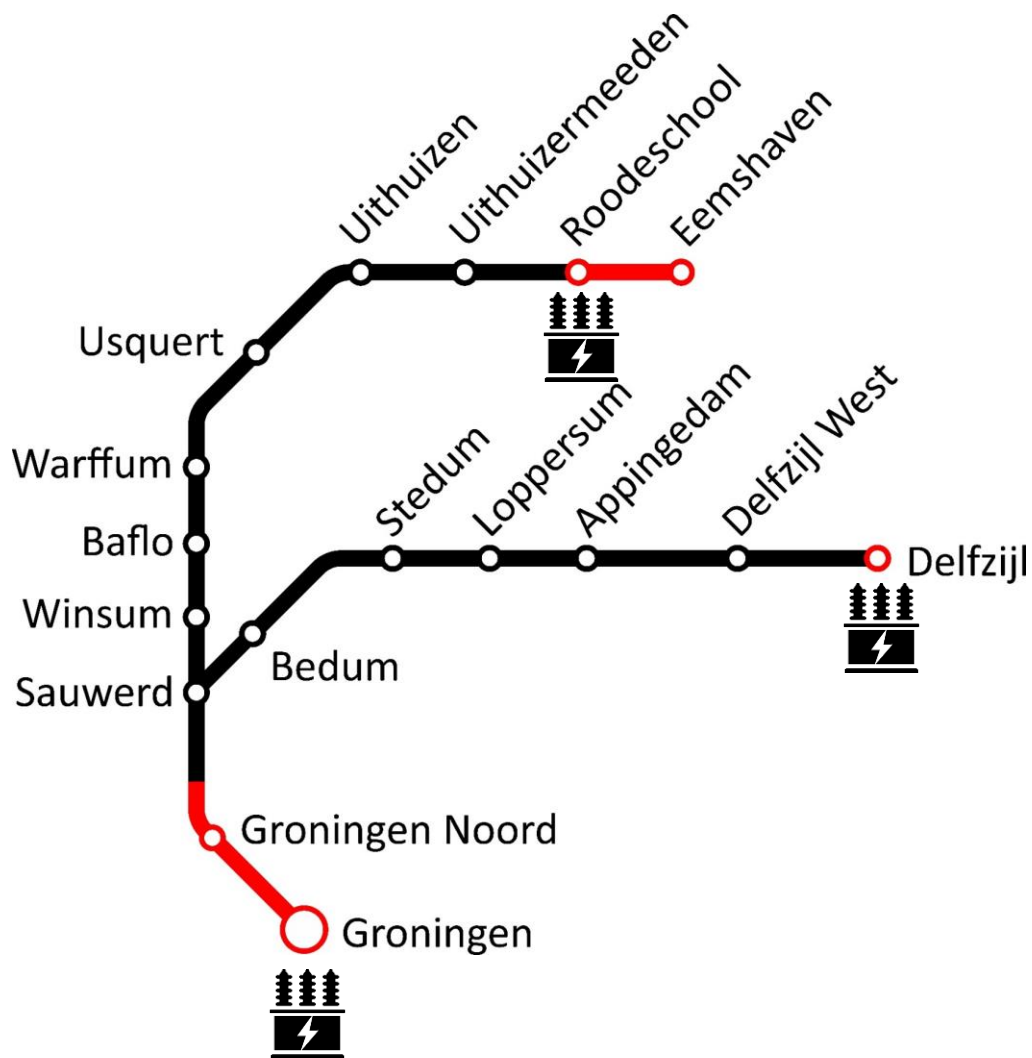


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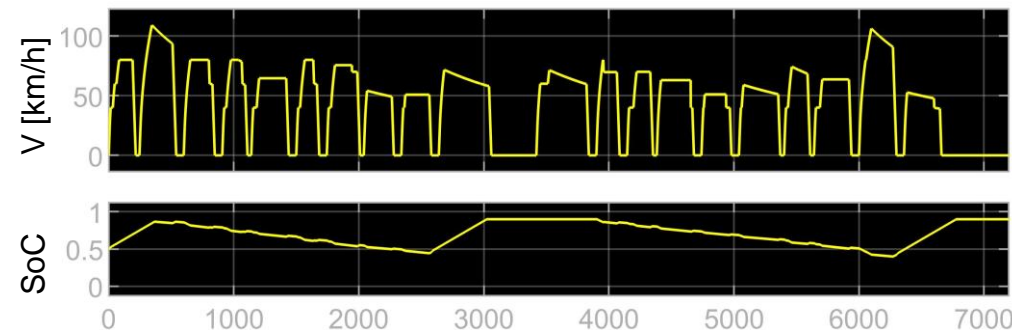


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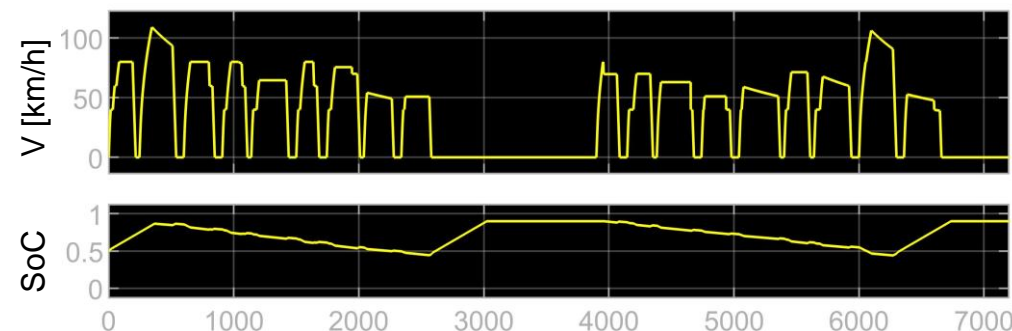
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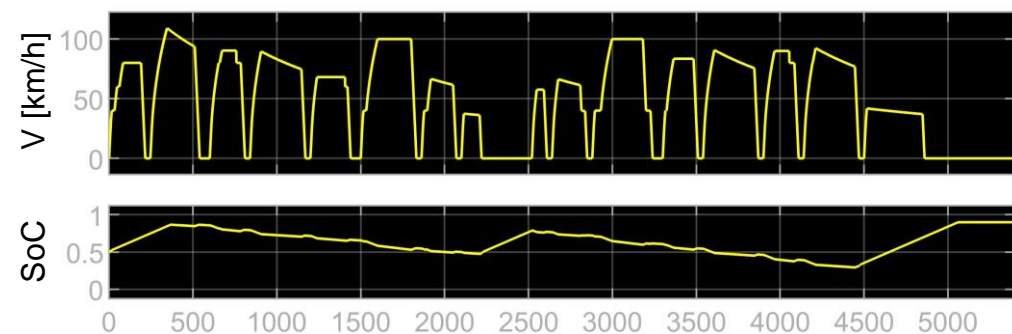
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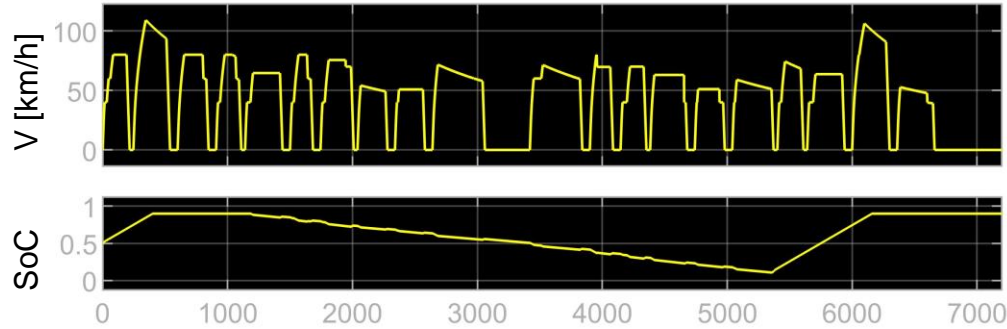
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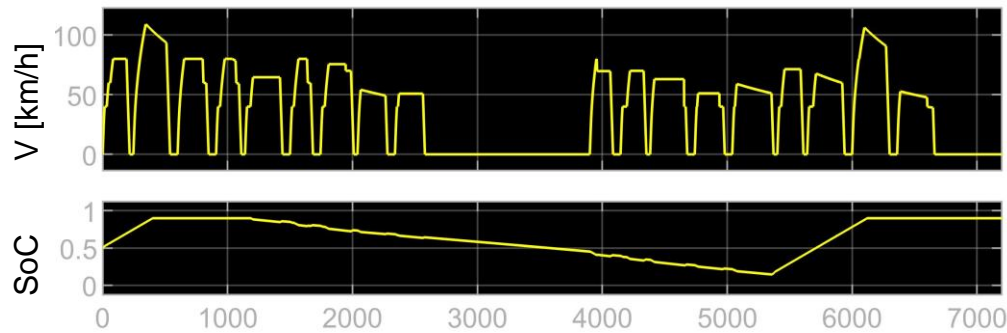
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Case Study of the Dutch Northern Lines

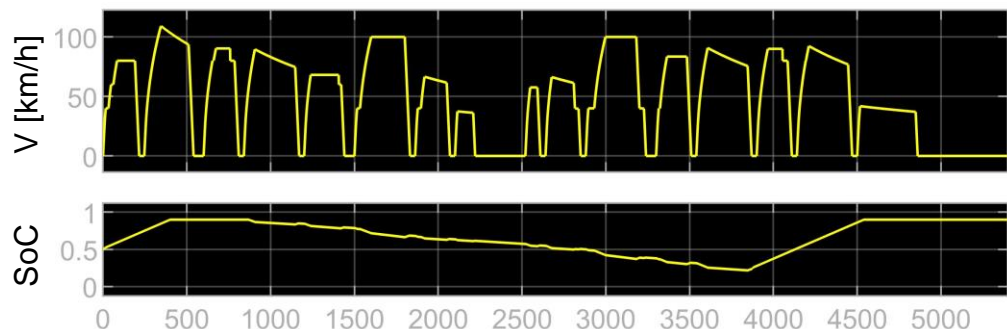
Groningen – Eemshaven – Groningen



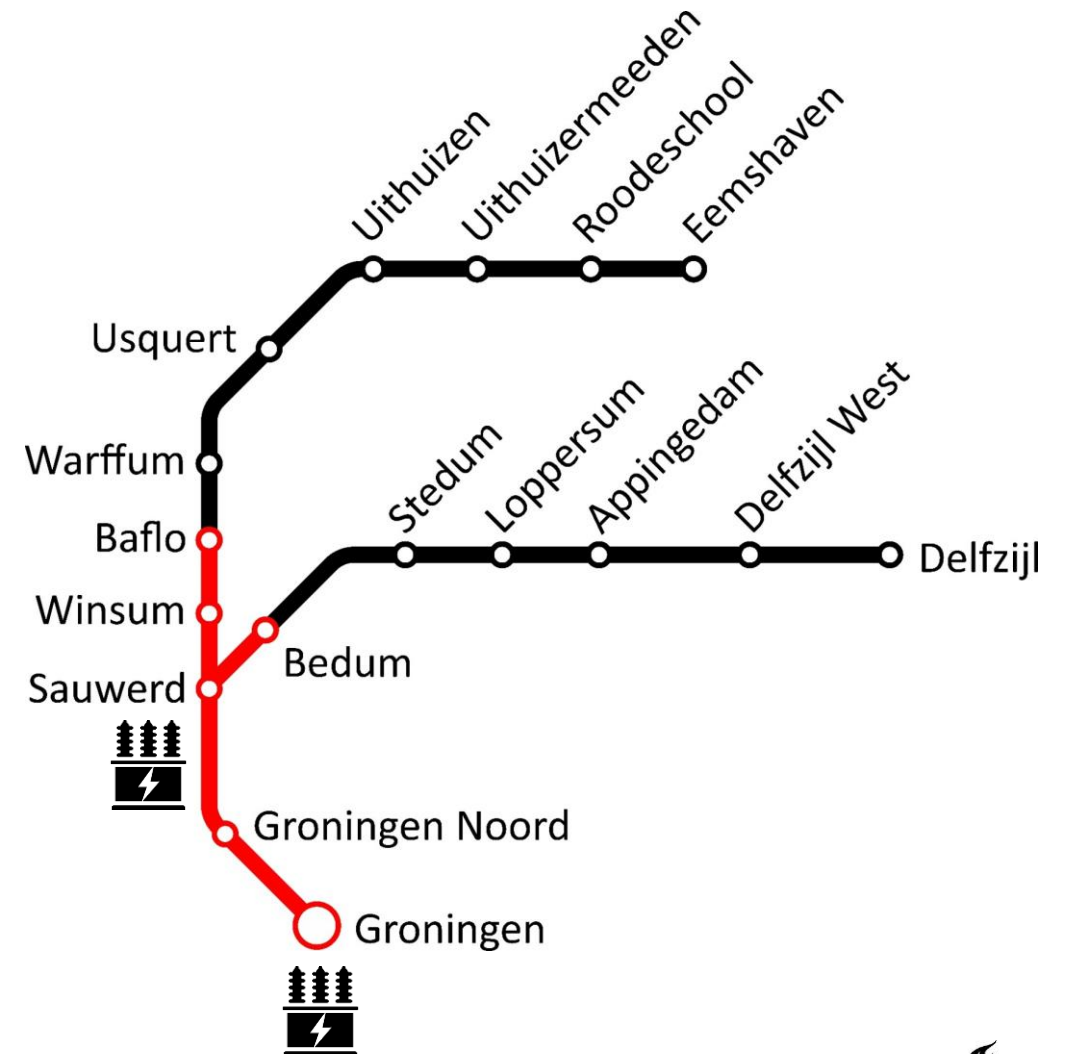
Groningen – Roodeschool – Groningen



Offset=0 Groningen – Delfzijl – Groningen



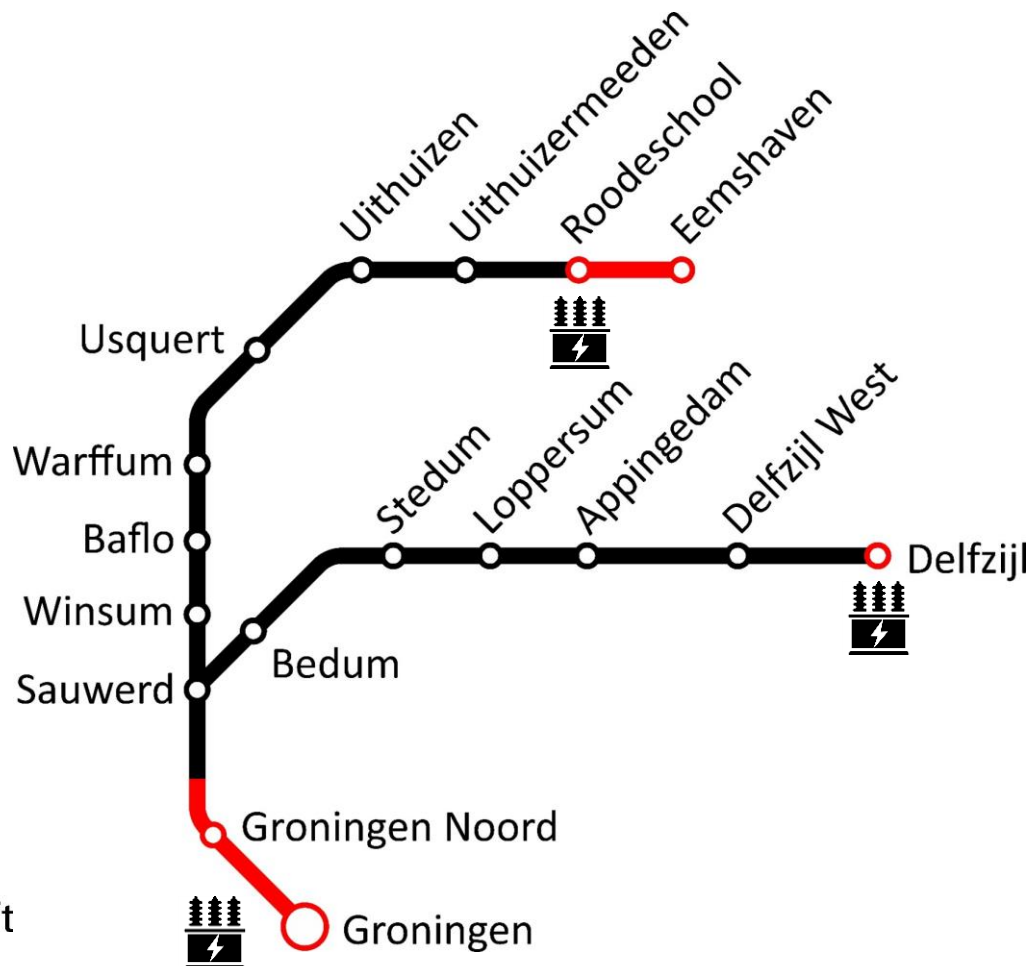
Continuous partial electrification



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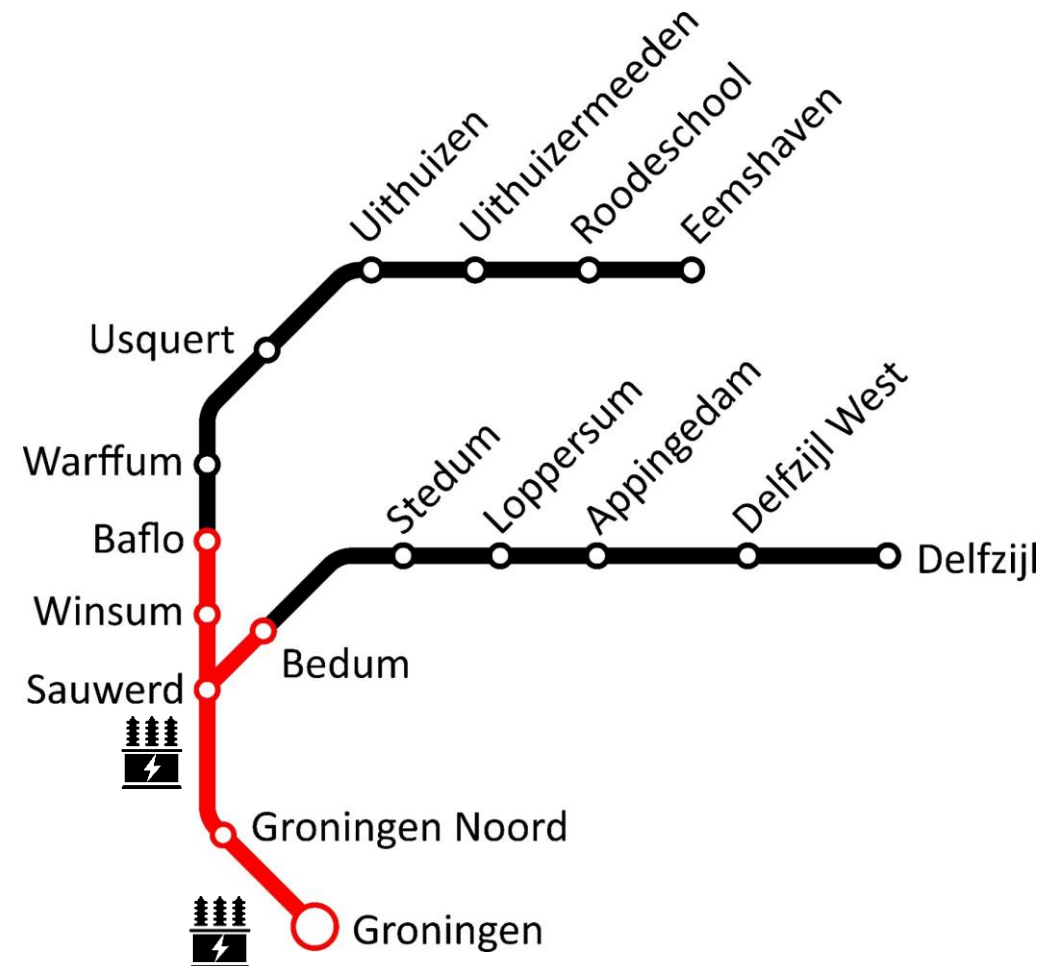
Intermittent partial electrification

Electrified track: 10.807 km
Number of power substations: 3
Total cost: 4.8614 mil. €



Continuous partial electrification

Electrified track: 18.934 km
Number of power substations: 2
Total cost: 5.5868 mil. €



Content

- Introduction
- Configuration and Modelling of Battery-Electric Propulsion System
- Optimization Framework for the Intermittent Track Electrification
- Case Study of the Dutch Northern Lines
- **Conclusions**

Conclusions

- **Powertrain simulation models** can be effectively exploited in strategic transportation planning and capital investments optimization frameworks.
- **Intermittent electrification** could lead to significantly lower capital cost for the required railway network electrification compared to the continuous electrification approach.
- Extensions of the present research:
 - ✓ Further investigation on the variability of used parameters in a **sensitivity analysis**.
 - ✓ Incorporation of operation and maintenance costs in a comprehensive **life cycle costs analysis**.
 - ✓ Extension of the approach with other electrification alternatives.

Questions?

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